

Efficacy of intra-arterial neoadjuvant chemotherapy through the superior epigastric artery in the treatment of locally advanced triple negative breast cancer

H. Y. JIN*, W. HE, Q. LIU, X. F. WANG, Y. F. LIU, Z. X. WEI

Department of Oncology, Puren Hospital, Wuhan, Hubei Province 430081, P. R. China

*Correspondence: qtw2015@szhtcm.org

Received September 18, 2015 / Accepted March 4, 2016

Triple negative breast cancer (TNBC) is associated with aggressive behaviour and poor prognosis, but has limited treatment options. To explore novel and effective therapies against TNBC, we retrospectively analyzed the efficacy of neoadjuvant intra-arterial chemotherapy through the superior epigastric artery in the treatment of locally advanced TNBC. Fifty-one locally advanced TNBC patients who received this neoadjuvant therapy from Mar 2001 to Mar 2012 were included in this study. The superior epigastric artery was selected for cannulation to deliver chemotherapy drugs. The regimen for intra-arterial chemoinfusion consisted of 75 mg/m² epirubicin and 75 mg/m² docetaxel. Clinical and pathological tumor responses, disease free survival (DFS), overall survival (OS), and toxicity profiles were recorded and retrospectively analyzed. In 51 patients treated with neoadjuvant intra-arterial chemoinfusion through the superior epigastric artery, the overall response rate (ORR) was 84.3%; 16 patients achieved pathological complete response (pCR). Following surgical treatment and adjuvant chemotherapy, 5-year DFS and OS were 72.4% and 75.9%, respectively, in the study population. In addition, this neoadjuvant approach showed favorable toxicity profiles. Moreover, patients who achieved pCR showed a superior survival outcome compared with those who did not. Cox regression analysis indicated that Ki-67 expression is an independent predictor for DFS and OS. Our results suggest that intra-arterial chemotherapy through the superior epigastric artery has great therapeutic potential for the treatment of locally advanced TNBC. This approach merits further clinical evaluation and may become a novel therapeutic option for locally advanced TNBC.

Key words: intra-arterial infusion, superior epigastric artery, locally advanced triple negative breast cancer, Ki67 expression

Breast cancer is one of the most lethal diseases for women. It is a heterogeneous disease in which the presence of estrogen receptor (ER), progesterone receptor (PR), and human epidermal growth factor receptor 2 (HER2) signals can be used to construct molecular subtypes [1-3]. The subtype negative for ER, PR, and HER2 is defined as triple negative breast cancer (TNBC), which has a gene expression profile similar to basaloid breast cancer. However, TNBC is associated with

aggressive biological behavior and poor prognosis [4-6]. It can lead to an increased risk of recurrence and visceral metastasis, as well as a higher mortality rate. Moreover, treatment options for TNBC are quite limited due to the absence of targeting receptors [7, 8].

In recent years, neoadjuvant chemotherapy (also called primary or preoperative chemotherapy) has been increasingly used in patients with TNBC. The aim of neoadjuvant treatment is to reduce the size of unresectable tumors, thus allowing surgery to become an option for these patients [9]. Additionally, for patients with resectable tumors, neoadjuvant treatment decreases the need for mastectomy and increases the likelihood of breast conservation [10]. A number of studies have demonstrated that neoadjuvant chemotherapy is more beneficial for TNBC than for non-TNBC tumors [11]. For example, Liedtke et al. [12] reported

Abbreviations: AJCC – American Joint Committee on Cancer CI – confidence interval DCR – disease control rate DFS – disease-free survival ECG – electrocardiogram ER – estrogen receptor HER2 – human epidermal growth factor receptor 2 HR – hazard ratio IHC – immunohistochemistry MRI – magnetic resonance imaging ORR – overall response rate OS – overall survival pCR – pathological complete response PR – progesterone receptor RECIST – the response evaluation criteria for solid tumors SD – standard deviation TNBC – triple negative breast cancer

that the rates of pathological complete response (pCR) were significantly higher with neoadjuvant treatment in patients with TNBC than in those with non-TNBC. Additionally, researchers found that in TNBC patients with pCR, neoadjuvant treatment is associated with improved overall survival (OS) as compared with adjuvant treatment [13]. Therefore, neoadjuvant chemotherapy has been accepted as a reliable treatment option for TNBC, especially in the locally advanced stages [14-16].

Intra-arterial infusion chemotherapy has been used as a neoadjuvant treatment for breast cancer since the 1960s [17, 18]. In this setting, chemotherapeutics can effectively reach the tumor tissue via cannulation of the dominant artery supplying the breast (e.g. the subscapular artery, or the lateral or internal thoracic arteries), and achieve a high local drug concentration, leading to enhanced anticancer effects as compared to conventional intravenous infusion [19, 20]. Several studies have demonstrated the effectiveness of intra-arterial infusion in the treatment of breast cancer, with response rates ranging from 77.3% to 90% [21, 22]. Nevertheless, as far as we know, the majority of current cannulation procedures for intra-arterial chemoinfusion are performed through the femoral artery to the subclavian artery, which can cause the chemotherapeutic drugs to flow into the ipsilateral upper extremity, leading to severe complications such as atrophy and disability of the ipsilateral upper extremity [19]. Therefore, the cannulation procedure still needs to be improved in order to minimize the risk of complications and sequelae related to intra-arterial chemotherapy.

The superior epigastric artery, a terminal branch of the internal thoracic artery, is located outside the thoracic cavity and supplies the upper anterior part of the abdominal wall [23]. This artery is superficial as compared to the internal thoracic artery, and may represent an ideal potential target artery for intra-arterial chemoinfusion for breast cancer [24]. To date, however, there are few reports on the efficacy of intra-arterial chemoinfusion through this artery, especially in the treatment of locally advanced TNBC. In our clinical practice, we began cannulating the superior epigastric artery to perform intra-arterial infusion in breast cancer patients in 2001. The present study was carried out in order to retrospectively evaluate the efficacy of this approach for patients with locally advanced TNBC.

Patients and methods

Setting and inclusion/exclusion criteria. In this study, we retrospectively reviewed a database of breast cancer patients who underwent intra-arterial neoadjuvant chemotherapy through the superior epigastric artery from Mar 2001 to Mar 2012 in the authors' institution. The study protocol complies with the principles of the Declaration of Helsinki and was approved by the institutional review board.

In order to ensure the quality of this retrospective study, strict inclusion and exclusion criteria were applied. Patients

were included if they met the following criteria: (1) age \geq 18 years old; (2) female with newly-diagnosed primary, unilateral, non-metastasized breast cancer; (3) histologically confirmed TNBC; (4) histologically conformed locally advanced breast cancer (T3-4 any NM0 or any TN2M0) according to the American Joint Committee on Cancer (AJCC) staging manual (7th edition); (4) adequate baseline hematologic, hepatic and renal functions; (5) no other malignant tumors; and (6) having detailed clinical and follow-up data available for review. Exclusion criteria were age less than 18 years, pregnancy, or breast-feeding.

Surgical procedures for intra-arterial chemoinfusion.

The superior epigastric artery ipsilateral to the lesion was cannulated for intra-arterial chemoinfusion. In brief, a 6-cm paramedian incision was made in the epigastrium, and dissection was carried down through the subcutaneous tissue. Then, the anterior layers of the rectus sheath were opened and approximately 1.5 to 2.0 cm of the superior epigastric artery was exposed. After distal ligation, a catheter (4F or 5F Cobra catheter (Cook Corporation, Bloomington, IN, USA)) was proximally inserted into the superior epigastric artery and advanced about 18 – 20 cm. Afterwards, 2 ml methylene blue solution (1%, weight/volume) was injected through the catheter to optimize catheter advancement according to the extent of the staining. Complete staining of the skin overlying tumor lesions indicated the optimal position for the catheter. After the catheter was fixated, chemotherapeutic drugs were infused through the catheter using an infusion pump for a minimum of 20 min. The regimen for intra-arterial chemoinfusion consisted of 75 mg/m² epirubicin and 75 mg/m² docetaxel in 50 ml of normal saline. After the infusion was completed, the catheter was removed and the distal end of the superior epigastric artery was ligated in order to achieve a high drug concentration in the tumor and prevent leakage of the drugs.

Intra-arterial chemoinfusion was performed once every three weeks. Two weeks after the first infusion, primary lesions and involved lymph nodes were evaluated by ultrasound and magnetic resonance imaging (MRI) in all patients. Surgery (modified radical mastectomy or breast-conserving surgery) was carried out if there was both a significant improvement in the involvement of skin and muscle tissue and the primary lesion and regional lymph nodes had shrunken considerably in volume. Otherwise, patients were assigned to undergo another cycle of intra-arterial chemoinfusion. After surgery, adjuvant intravenous chemotherapy was administered for up to six cycles using the same regimen as for intra-arterial chemotherapy.

Immunohistochemical evaluation of Ki-67. Ki-67 expression in tumor tissues was detected by immunohistochemistry (IHC). Briefly, core needle biopsies (\geq 3 representative specimens) were performed in all patients. The collected specimens were embedded in paraffin and sliced to 4 μ m in thickness, according to the routine method. Then, the prepared sections were fixed in 10% neutral formaldehyde solution and incubated with Ki-67 primary antibody after antigen retrieval

(dilution: 1:100) (Boster Co. Ltd., Wuhan, China). Afterwards, sections were treated with a horseradish peroxidase (HRP)-labeled secondary antibody and diaminobenzidine (DAB) kit (Boster Co. Ltd.), and counter-stained with hematoxylin.

For each patient, five high-power fields (40×) containing at least 1000 tumor cells were randomly selected for semi-quantitative IHC analysis. Cells with immunostaining in the nucleus were defined as Ki-67-positive cells. The percentage of Ki-67-positive cells was calculated by counting the number of Ki-67-positive cells and the total number of cells. A Ki-67 expression cut-off value of 20% was defined according to previous literature [25, 26].

Tumor response and toxicity assessment. Clinical tumor responses to intra-arterial chemotherapy were assessed based on ultrasound and MRI examinations according to the response evaluation criteria for solid tumors (RECIST 1.1) [27]. A pathological complete response (pCR) was defined as the

absence of residual invasive cancer in both the breast tissue and regional lymph nodes of the surgical specimen.

The toxicity of intra-arterial chemoinfusion was assessed at each cycle from the first day of the treatment until the day before surgery. Toxicity was monitored by routine physical examination, laboratory tests, and electrocardiogram (ECG); and classified according to the National Cancer Institute Common Terminology Criteria for Adverse Events, Version 4.03.

Follow-up. For all patients, follow-up was conducted every 3 to 4 months for the first 2 years, then every 6 months thereafter. Disease free survival (DFS) was measured from the date of diagnosis to the date of last follow-up, disease relapse (i.e. local and regional recurrence, distant metastases, or occurrence of secondary malignant neoplasms), or death. OS was calculated as the period from the date of diagnosis to the date of last follow-up or death.

Statistical analysis. All statistical analyses were performed using SPSS 13.0 software (SPSS Inc., Chicago, IL). Categorical data are expressed as counts and percentages. Continuous data are presented as means ± standard deviations (SD). For survival data, Kaplan-Meier survival curves were plotted, and statistical differences were analyzed using the log-rank test. Univariate and multivariate logistic regression were performed to analyze the impact of the variables on the response rate of pCR. A Cox regression model was used to analyze the impact of the variables on patient survival. Hazard ratios (HR) were presented with their 95% confidence intervals (95% CI). A value of $P < 0.05$ was considered statistically significant (two-tailed).

Table 1. Demographic characteristics of patients enrolled in this study

Variable	Intra-arterial chemoinfusion (N = 51)
Age	
Mean age (years) ^a	50.7 ± 9.3
≤ 50 (n, %)	28 (54.9)
> 50 (n, %)	23 (45.1)
Menstrual status (n, %)	
Pre/peri-menopausal	22 (43.1)
Postmenopausal	29 (56.9)
Pathological status (n, %)	
Invasive ductal carcinoma	47 (92.2)
Others	4 (7.8)
Tumor stage (n, %)	
T ₂	7 (13.7)
T ₃	36 (70.6)
T ₄	8 (15.7)
Lymph node stage (n, %)	
N ₀	3 (5.9)
N ₁	22 (43.1)
N ₂	26 (51.0)
AJCC stage (n, %)	
IIB	3 (5.9)
IIIA	39 (76.5)
IIIB	9 (17.6)
Primary tumor size (n, %)	
≤ 5 cm	19 (37.3)
> 5 cm	32 (62.7)
Grade (n, %)	
2	10 (19.6)
3	41 (80.4)
Ki67 expression (n, %)	
< 20%	24 (47.1)
≥ 20%	27 (52.9)

^a Data are presented as mean ± SD

Abbreviations: AJCC, American Joint Committee on Cancer

Results

Demographic characteristics of patients. A total of 51 locally advanced TNBC patients who received intra-arterial chemoinfusion were eligible for this retrospective analysis during the study period. Their demographic characteristics are presented in Table 1. Forty-four patients (86.3%) were diagnosed with T3 or T4 diseases, and 48 (94.1%) were classified as AJCC stage III diseases. The mean tumor size was 9.7 cm in diameter. Skin and/or chest muscle involvement was seen in 14 patients (27.5%), and axillary lymph node enlargement was found in 37 patients (72.5%). Additionally, Ki-67 expression < 20% was seen in 24 patients (47.1%).

Tumor response and toxicity assessment. All patients received surgical treatment after one cycle of intra-arterial chemoinfusion and were qualified for clinical and pathological response assessment. Tumor response results are summarized in Table 2. The overall response rate (ORR) and disease control rate (DCR) were 84.3% and 98.0%, respectively. After intra-arterial treatment, the mean tumor size of patients was 3.6 cm in diameter, which was significantly reduced as compared to pretreatment values. Additionally, symptoms in patients with skin and/or chest muscle involvement or with axillary lymph node enlargement showed improvements after intra-arterial chemoinfusion. Thirty-five patients received modified radical

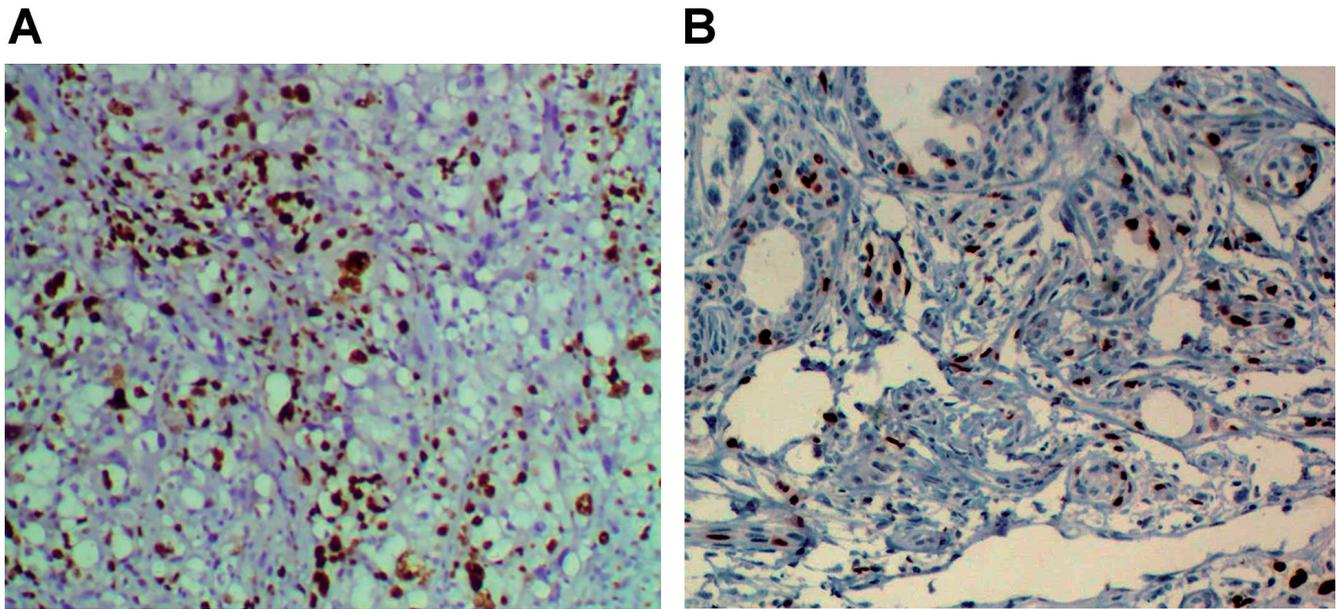


Figure 1. Representative IHC images of high and low Ki-67 expression. (A) A 57-year female patient with stage IIIA TNBC. IHC analysis showed a Ki-67 expression of 50% in her tumor tissues. (B) A 63-year-old female patient with stage IIIA TNBC. IHC analysis showed a Ki-67 expression of 15% in her tumor tissues. Magnification = 40 \times .

mastectomy, while the rest received breast-conserving surgery. All surgeries were performed by experienced surgeons. The success rate of surgical resection was 100%, and no patients developed severe intra- or post-operative complications. After surgery, 16 patients achieved pCR. Both univariate and multivariate analysis showed that Ki-67 expression was an independent predictor for pCR (Tables 3 and 4), indicating that patients with high Ki-67 expression ($\geq 20\%$) were more sensitive to intra-arterial chemotherapy (representative IHC images of high and low Ki-67 expression are shown in Figure 1)

The toxicity profiles of intra-arterial chemoinfusion are listed in Table 5. It should be noted that skin ulceration was the most frequent toxicity found in this study (21.6%, 11 out of 51). Two patients (3.9%) even experienced grade 3/4 skin ulcerations, which were resolved by treatment with appropriate topical care. Other toxicities included gastrointestinal, hematologic, and cardiac disorders. However, these adverse events were mild (grade 1/2) and well-tolerated by patients, or were able to be controlled after 3 – 5 days of symptomatic treatment.

Survival outcomes. After surgery, 32 patients received three cycles of intravenous adjuvant chemotherapy, 14 patients received four cycles, and 5 patients received five cycles. After a median follow-up of 100.6 months (33.6 – 162.7 months), 13 patients (25.5%) died of breast cancer-related causes, all of which had stage III tumors. Kaplan-Meier curves for DFS and OS are shown in Figure 2. Five-year DFS and OS rates were 72.4% and 75.9%, respectively. Moreover, patients who achieved pCR showed a superior survival outcome compared with those who did not. In the pCR population, recurrence

and disease-related death occurred in 2 (12.5%, 2 out of 16) and 1 cases (6.3%, 1 out of 16), respectively; while in the non-pCR population, disease recurrence and death occurred in 14 (40.0%, 14 out of 35) and 12 cases (34.3%, 12 out of 35), respectively. Survival analysis demonstrated that patients with pCR were associated with significantly better DFS [107.7 months vs. 85.1 months, HR = 0.25 (95% CI: 0.13 – 0.97), $P = 0.044$] and OS [108.2 months vs. 89.8 months, HR = 0.15 (95% CI: 0.09 – 0.92), $P = 0.036$], as compared to non-pCR patients (Figure 3). Cox regression analysis indicated that Ki-67 expression $\geq 20\%$ was an independent predictor for DFS [P

Table 2. Clinical and pathological responses to intra-arterial chemoinfusion

Tumor response	Intra-arterial chemoinfusion (N = 51)
Clinical response (n, %)	
CR	17 (33.3)
PR	26 (51.0)
SD	7 (13.7)
PD	1 (2.0)
ORR (n, %)	43 (84.3)
DCR (n, %)	50 (98.0)
Pathological response (n, %)	
pCR	16 (31.3)
Non-pCR	35 (68.6)

Abbreviations: CR, complete response; PR, partial response; SD, stable disease; PD, progressive disease; ORR, overall response rate; DCR, disease control rate; pCR, pathological complete response

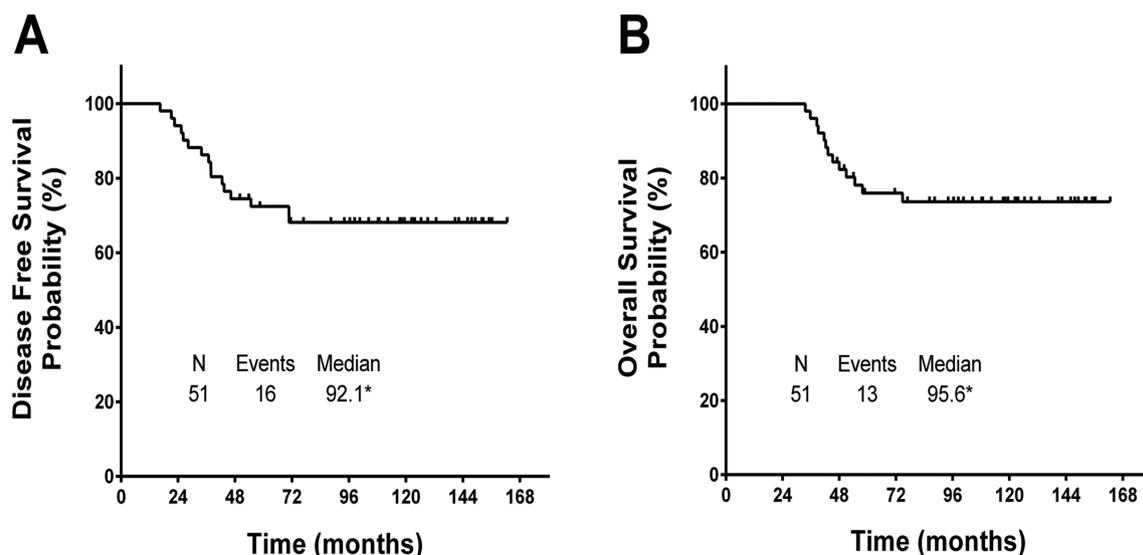


Figure 2. Kaplan-Meier curves for DFS (A) and OS (B) in 51 locally advanced TNBC patients who received neoadjuvant chemoinfusion through the superior epigastric artery. Asterisk (*) indicates mean survival time because median survival time could not be estimated due to data censorship $\geq 50\%$.

Table 3. Univariate analysis of the association between patient characteristics and pathological response

Variable	pCR (N = 16)	Non-pCR (N = 35)	P value
Age			0.951
≤ 50 (n, %)	7	16	
>50 (n, %)	9	19	
Menstrual status (n, %)			0.504
Pre/peri-menopausal	8	14	
Postmenopausal	8	21	
Pathological status (n, %)			0.775
Invasive ductal carcinoma	15	32	
Others	1	3	
Tumor stage (n, %)			0.200
T ₂	1	6	
T ₃	14	22	
T ₄	1	7	
Lymph node stage (n, %)			0.281
N0	0	3	
N1	9	13	
N2	7	19	
AJCC stage (n, %)			0.351
IIB	1	2	
IIIA	14	25	
IIIB	1	8	
Primary tumor size (n, %)			0.756
≤ 5 cm	5	14	
> 5 cm	11	21	
Grade (n, %)			0.387
2	2	8	
3	14	27	
Ki67 expression			0.006
<20%	3	21	
≥20%	13	14	

Abbreviations: pCR, pathological complete remission; AJCC, American Joint Committee on Cancer

= 0.046, HR = 0.28 (95% CI: 0.08 – 0.98)] and OS [$P = 0.031$, HR = 0.22 (95% CI: 0.06 – 0.87)].

Discussion

This study was a single center, retrospective study with the purpose of investigating the efficacy of intra-arterial neoadjuvant chemotherapy through the superior epigastric artery in the treatment of locally advanced TNBC. For the 51 patients treated using this approach, the ORR was 84.3% (33.3% CR and 51.0% PR); 16 patients achieved pCR. Following surgical treatment and adjuvant chemotherapy, 5-year DFS and OS in the study population were 72.4% and 75.9%, respectively. In addition, intra-arterial chemotherapy through the superior epigastric artery showed favorable toxicity profiles. Taken together, our results suggest that this neoadjuvant approach has great therapeutic potential for the treatment of locally advanced TNBC.

As compared with other subtypes of breast cancer, treatment options for TNBC are limited due to the absence of drug-targetable receptors [7, 8]. Nevertheless, a number of studies have demonstrated that TNBC responds well to cytotoxic chemotherapy, commonly having higher pCR rates than those found in other breast cancer subtypes [25]. Neoadjuvant chemotherapy is a well-established approach to the treatment of locally advanced TNBC, which reduces the size of unresectable tumors, thereby allowing surgical intervention to be performed [28]. In the neoadjuvant setting, chemotherapeutic agents are conventionally administered by intravenous infusion. Despite impressive response rates, however, conventional neoadjuvant therapy is associated with high local recurrence rates (up to 38%) and high toxicity profiles [29]. In addition, researchers reported that a high proportion of patients with

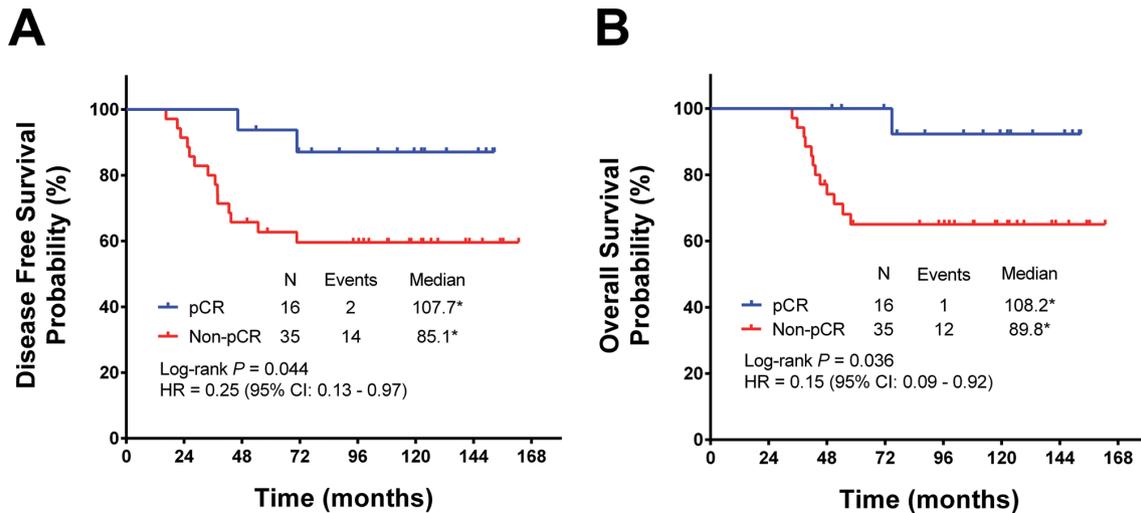


Figure 3. Kaplan-Meier curves for DFS (A) and OS (B) in intra-arterial chemoinfusion-treated patients stratified by pCR status. The statistical difference between the two survival curves was assessed using the log-rank test. HRs were calculated using a Cox regression model. Asterisk (*) indicates mean survival time because median survival time could not be estimated due to data censorship $\geq 50\%$.

Table 4. Multivariate analysis of the association between patient characteristics and pathological response

Variable	B	S.E.	Wald	P value	Exp(B) (95% CI)
Age	0.121	0.788	0.023	0.878	1.128 (0.241 - 5.286)
Menstrual status	0.354	0.758	0.218	0.641	1.425 (0.322 - 6.298)
Pathological status	1.043	1.568	0.443	0.506	2.838 (0.131 - 61.291)
Tumor stage	-0.552	0.677	0.665	0.415	0.576 (0.153 - 2.171)
Lymph node stage	-0.292	0.613	0.226	0.634	0.747 (0.225 - 2.484)
AJCC stage	-0.358	0.851	0.177	0.674	0.699 (0.132 - 3.705)
Primary tumor size	1.137	0.813	1.955	0.162	3.117 (0.633 - 15.334)
Grade	-0.107	1.021	0.011	0.916	0.898 (0.121 - 6.647)
Ki67 expression	2.394	0.918	6.800	0.009	10.960 (1.812 - 66.278)

Abbreviations: B, Beta coefficient; S.E., standard error; CI, confidence interval; AJCC, American Joint Committee on Cancer

locally advanced TNBC had poor prognoses after conventional neoadjuvant therapy due to failure to control local micrometastases of the tumor [30]. Therefore, in order to gain effective local control of micrometastasis, regional chemotherapy via intra-arterial infusion has been developed and evaluated in a number of clinical studies [9]. Table 6 summarizes the recent published results (within 10 years) regarding the outcomes of intra-arterial chemoinfusion in the treatment of breast cancer.

The major advantage of intra-arterial chemotherapy lies in the fact that it can achieve a relatively high drug concentration and retention in primary tumor tissues and involved lymph nodes, consequently improving anti-cancer efficacy and reducing the occurrence of side effects in normal tissues [31]. Additionally, in this setting, regionally administered chemotherapeutic agents can return to tumor tissues through blood

Table 5. Toxicity profiles of the intra-arterial chemoinfusion through the superior epigastric artery

Toxicity	All grades	Grades 3/4
Gastrointestinal disorders		
Nausea	2	0
Vomiting	3	0
Hematologic toxicities		
Leukopenia	1	0
Neutropenia	2	0
Thrombocytopenia	1	0
Cardiac disorders		
Heart failure	1	0
Skin and subcutaneous tissue disorders		
Alopecia	6	0
Skin ulceration	11	2

Table 6. Literature review of the outcomes of intra-arterial chemoinfusion in the treatment of breast cancer within ten years

Author, year (reference)	Total number of cases	Stage/TNBC status	Artery for cannulation	Regimen	Response	Long-term outcomes
Pacetti, et al. 2006 [22]	10	9 stage IIIB + 1 stage IV/3 TNBC	the femoral artery	5-Fu + EPI + MMC (by bolus infusion)	8 PR + 2 SD	5 DOD, OS: 33.5 months
He, et al. 2011 [32]	24	14 stage II, 6 stage III, and 4 stage IV/24 TNBC	the femoral artery	CTX + EPI + 5-Fu	9 CR (4 pCR) + 13 PR + 1 SD + 1 PD	9 DOD within two years
Wang, et al 2013 [19]	53	10 stage IIIA, 24 stage IIIB, and 19 stage IIIC	the femoral artery	18 CTX + EPI + 5-Fu/2 EPI alone/ 22 CTX + EPI + Tax/10 TAX alone/1 EPI + 5-Fu	7 CR + 41 PR + 5 SD	N/A
Current study	51	3 stage IIB, 39 stage IIIA, and 9 stage IIIB/51 TNBC	the superior epigastric artery	EPI + DCX	17 CR (16 pCR) + 26 PR + 7 SD + 1 PR	13 DOD, 5-year DFS and OS rates: 72.4% and 75.9%

TNBC, triple negative breast cancer; 5-Fu, 5-fluorouracil, EPI, epirubicin; MMC, mitomycin; TAX, paclitaxel; DCX, docetaxel; CR, complete response; PR, partial response; SD, stable disease; PD, progressive disease; pCR, pathological complete response; DOD, died of disease, OS, overall survival; DFS, disease-free survival; N/A, not available

circulation, thus exerting a “second-hit” impact on tumors. In this study, intra-arterial chemoinfusion through the superior epigastric artery achieved an ORR of 84.3% and a pCR rate of 31.3%, which are similar or even superior to the effects seen in standard intravenous neoadjuvant chemotherapy or intra-arterial chemoinfusion through the femoral artery [19, 22, 32] (Table 6). Furthermore, long-term follow-up data showed that 5-year DFS and OS were 72.4% and 75.9%, respectively, in the study population. Collectively, these data, at least in part, reflect the effectiveness of this intra-arterial therapy in controlling locally advanced TNBC.

Accumulating evidence from a series of clinical studies reveals that pCR is a predictive marker for clinical response, recurrence, and survival in TNBC patients [33, 34]. Consistently, in our study, survival analysis showed that patients who achieved pCR showed better DFS and OS rates than those who did not. However, it should be noted that pCR was defined as lacking any residual disease in both the breast tissue and axillary lymph nodes; otherwise, significant predictive errors may occur. In addition, IHC analysis indicated a significant association between Ki-67 expression in tumor tissues and the occurrence of pCR. Ki-67, initially identified by Gerdes et al [35], is a nuclear protein associated with cell proliferation. Although there is still some debate, many studies have demonstrated the predictive value of Ki-67 regarding responsiveness to chemotherapy in breast cancer [36, 37]. Particularly, Fasching et al. [38] have reported that Ki67 serves as an independent predictor for pCR in a group of breast cancer patients receiving neoadjuvant treatment. In accordance with these findings, the present study also found that when patients were treated with intra-arterial chemotherapy through the superior epigastric artery, most cases achieving pCR had a high level of Ki-67 expression. This may be attributed to the fact that high Ki67 expression generally reflects increased proliferation, whereas breast cancer cells with a high proliferation rate are

usually sensitive to chemotherapy [39]. Additionally, Cox regression analysis showed that Ki-67 expression $\geq 20\%$ was an independent predictor for DFS and OS. Taken together, these results suggest that Ki-67 expression can also be used as an independent factor for predicting prognoses of locally advanced TNBC patients treated using this neoadjuvant approach.

With regard to the toxicity profile, low incidence of gastrointestinal, hematological, and cardiac toxicity was observed using intra-arterial chemoinfusion through the superior epigastric artery. Moreover, as compared with other intra-arterial chemoinfusion procedures, cannulation through the superior epigastric artery can help to prevent serious upper extremity complications caused by leakage of chemotherapeutic drugs into the ipsilateral upper extremity. Nevertheless, it should be noted that skin ulceration was the most frequent toxicity related to this neoadjuvant chemoinfusion, which may be attributed to accidental leakage of epirubicin into the surrounding tissue. Hence, the surgical procedures, as well as treatment regimens such as dosing and schedule, should be further optimized in order to minimize treatment-related toxicity.

Several limitations of the present study should be addressed. The main limitation is its retrospective nature, which may have introduced inherent selection biases. Another limitation is the small population size and single ethnicity, which may limit the generalizability of the findings of this study. In addition, the lack of a control group limits interpretation of the results and prevents us from drawing any firm conclusions.

In summary, our data demonstrate the significant efficacy and safety of intra-arterial neoadjuvant chemotherapy through the superior epigastric artery in the treatment of newly-diagnosed locally advanced TNBC. This study provides supportive evidence that this neoadjuvant approach has great therapeutic potential for locally advanced TNBC and is most likely suitable for cases with higher Ki-67 expression. Thus, this treatment

merits further clinical evaluation and may become a novel therapeutic option for locally advanced TNBC.

Acknowledgments: All authors would like to thank Ms. Rebekah Burdyslaw for her kind assistance in proofreading this manuscript.

References

- [1] CUSTODIO A, MORENO-RUBIO J, APARICIO J, GALLEGU-PLAZAS J, YAYA R et al. Pharmacogenetic predictors of severe peripheral neuropathy in colon cancer patients treated with oxaliplatin-based adjuvant chemotherapy: a GEMCAD group study. *Ann Oncol* 2014; 25: 398–403. <http://dx.doi.org/10.1093/annonc/mdt546>
- [2] PARK SB, GOLDSTEIN D, KRISHNAN AV, LIN CS, FRIEDLANDER ML et al. Chemotherapy-induced peripheral neurotoxicity: A critical analysis. *CA Cancer J Clin* 2013; doi: 10.1002/caac.21204.
- [3] PARK SB, LIN CS, KIERNAN MC. Nerve excitability assessment in chemotherapy-induced neurotoxicity. *J Vis Exp* 2012; doi: 10.3791/3439. <http://dx.doi.org/10.3791/3439>
- [4] EXTRA JM, MARTY M, BRIENZA S, MISSET JL. Pharmacokinetics and safety profile of oxaliplatin. *Semin Oncol* 1998; 2 Suppl 5: 13–22.
- [5] HALLER DG. Safety of oxaliplatin in the treatment of colorectal cancer. *Oncology (Williston Park)* 2000; 12 Suppl 11: 15–20.
- [6] BECOUARN Y, YCHOU M, DUCREUX M, BOREL C, BERTHEAULT-CVITKOVIC F et al. Phase II trial of oxaliplatin as first-line chemotherapy in metastatic colorectal cancer patients. Digestive Group of French Federation of Cancer Centers. *J Clin Oncol* 1998; 16: 2739–2744.
- [7] BUGAT R. Oxaliplatin tolerance in the treatment of metastatic colorectal cancers. *Bull Cancer* 2001; 88: S45–S49.
- [8] CHOI J, KONG K, MOZAFFAR T, HOLCOMBE RF. Delayed oxaliplatin-associated neurotoxicity following adjuvant chemotherapy for stage III colon cancer. *Anticancer Drugs* 2006; 17: 103–105. <http://dx.doi.org/10.1097/01.cad.0000185185.64980.70>
- [9] GAMELIN L, BOISDRON-CELLE M, MOREL A, GAMELIN E. Oxaliplatin neurotoxicity. *Bull Cancer* 2006; 93 Suppl 1: S17–S22.
- [10] CASSIDY J, MISSET JL. Oxaliplatin-related side effects: characteristics and management. *Semin Oncol* 2002; 5 Suppl 15: 11–20. <http://dx.doi.org/10.1053/sonc.2002.35524>
- [11] MAS MOREY P, CHOLVI LLOVELL M, NIGORRA CAROM, NICOLAS PICO J, VILANOVA BOLTO M. Oxaliplatin-associated neurotoxicity in clinical practice. *Farm Hosp* 2012; 36: 336–342. <http://dx.doi.org/10.1016/j.farma.2011.03.010>
- [12] JARDIM DL, RODRIGUES CA, NOVIS YA, ROCHA VG, HOFF PM. Oxaliplatin-related thrombocytopenia. *Ann Oncol* 2012; 23: 1937–1942. <http://dx.doi.org/10.1093/annonc/mds074>
- [13] LAND SR, KOPEC JA, CECCHINI RS, GANZ PA, WIEAND HS et al. Neurotoxicity from oxaliplatin combined with weekly bolus fluorouracil and leucovorin as surgical adjuvant chemotherapy for stage II and III colon cancer: NSABP C-07. *Clin J Oncol* 2007; 25: 2205–2211. <http://dx.doi.org/10.1200/JCO.2006.08.6652>
- [14] BEIJERS AJ, MOLS F, VREUGDENHIL G. A systematic review on chronic oxaliplatin-induced peripheral neuropathy and the relation with oxaliplatin administration. *Support Care Cancer* 2014; 22: 1999–2007. <http://dx.doi.org/10.1007/s00520-014-2242-z>
- [15] GENT P, MASSEY K. An overview of chemotherapy-induced peripheral sensory neuropathy, focusing on oxaliplatin. *Int J Palliat Nurs* 2001; 7: 354–359. <http://dx.doi.org/10.12968/ijpn.2001.7.7.9020>
- [16] MCWHINNEY SR, GOLDBERG RM, MCLEOD HL. Platinum neurotoxicity pharmacogenetics. *Mol Cancer Ther* 2009; 8: 10–16. <http://dx.doi.org/10.1158/1535-7163.MCT-08-0840>
- [17] COURNEDE A, RIES P, RICHARD K, GUILLAIN A, DAHAN L et al. Oxaliplatin neurotoxicity: a report of three cases with post-operative exacerbation. *Gastroenterol Clin Biol* 2005; 29: 461–464. [http://dx.doi.org/10.1016/S0399-8320\(05\)80817-6](http://dx.doi.org/10.1016/S0399-8320(05)80817-6)
- [18] GORNET JM, SAVIER E, LOKIEC F, CVITKOVIC E, MISSET JL et al. Exacerbation of oxaliplatin neurosensory toxicity following surgery. *Ann Oncol* 2002; 13: 1315–1318. <http://dx.doi.org/10.1093/annonc/mdf254>
- [19] CAROZZI VA, CANTA A, CHIORAZZI A, CVALETTI G. Chemotherapy-induced peripheral neuropathy: What do we know about mechanisms? *Neurosci Lett* 2014; [Epub ahead of print].
- [20] PARK SB, LIN CS, KRISHNAN AV, GOLDSTEIN D, FRIEDLANDER ML et al. Utilizing natural activity to dissect the pathophysiology of acute oxaliplatin-induced neuropathy. *Exp Neurol* 2011; 227: 120–127. <http://dx.doi.org/10.1016/j.expneurol.2010.10.002>
- [21] PARK SB, LIN CS, KRISHNAN AV, GOLDSTEIN D, FRIEDLANDER ML et al. Dose effects of oxaliplatin on persistent and transient Na⁺ conductances and the development of neurotoxicity. *PloS one* 2011; 6: e18469. <http://dx.doi.org/10.1371/journal.pone.0018469>
- [22] PARK SB, KRISHNAN AV, LIN CS, GOLDSTEIN D, FRIEDLANDER M et al. Mechanisms underlying chemotherapy-induced neurotoxicity and the potential for neuroprotective strategies. *Curr Med Chem* 2008; 15: 3081–3094. <http://dx.doi.org/10.2174/092986708786848569>
- [23] KRISHNAN AV, GOLDSTEIN D, FRIEDLANDER M, KIERNAN MC. Oxaliplatin and axonal Na⁺ channel function in vivo. *Clin Cancer Res* 2006; 12: 4481–4484. <http://dx.doi.org/10.1158/1078-0432.CCR-06-0694>
- [24] OH SJ. *Clinical electromyography: nerve conduction studies*. 3rd ed. Baltimore: Lippincott, Williams & Wilkins 2003.
- [25] ARGYRIOU AA, POLYCHRONOPOULOS P, ICONOMOU G, KOUTRAS A, MAKATSORIS T et al. Incidence and characteristics of peripheral neuropathy during oxaliplatin-based chemotherapy for metastatic colon cancer. *Acta Oncol* 2007; 46: 1131–1137. <http://dx.doi.org/10.1080/02841860701355055>
- [26] KRISHNAN AV, GOLDSTEIN D, FRIEDLANDER M, KIERNAN MC. Oxaliplatin-induced neurotoxicity and the

- development of neuropathy. *Muscle Nerve* 2005; 32: 51–60. <http://dx.doi.org/10.1002/mus.20340>
- [27] SPROWL JA, CIARIMBOLI G, LANCASTER CS, GIOVINAZZO H, GIBSON AA et al. Oxaliplatin-induced neurotoxicity is dependent on the organic cation transporter OCT2. *Proc Natl Acad Sci USA* 2013; 110: 11199–11204. <http://dx.doi.org/10.1073/pnas.1305321110>
- [28] VELASCO R, BRUNA J, BRIANI C, ARGYRIOU AA, CVALETTI G et al. Early predictors of oxaliplatin-induced cumulative neuropathy in colorectal cancer patients. *J Neurol Neurosurg Psychiatry* 2014; 85: 392–398. <http://dx.doi.org/10.1136/jnnp-2013-305334>
- [29] PARK SB, LIN CS, KRISHNAN AV, GOLDSTEIN D, FRIEDLANDER ML et al. Oxaliplatin-induced neurotoxicity: changes in axonal excitability precede development of neuropathy. *Brain* 2009; 132: 2712–2723. <http://dx.doi.org/10.1093/brain/awp219>
- [30] PARK SB, LIN CS, KRISHNAN AV, GOLDSTEIN D, FRIEDLANDER ML et al. Long-term neuropathy after oxaliplatin treatment: challenging the dictum of reversibility. *Oncologist* 2011; 16: 708–716. <http://dx.doi.org/10.1634/theoncologist.2010-0248>
- [31] BRIANI C, ARGYRIOU AA, IZQUIERDO C, VELASCO R, CAMPAGNOLO M et al. Long-term course of oxaliplatin-induced polyneuropathy: a prospective 2-year follow-up study. *J Peripher Nerv Syst* 2014; 19: 299–306. <http://dx.doi.org/10.1111/jns.12097>
- [32] TAIEB S, TRILLET-LENOIR V, RAMBAUD L, DESCOS L, FREYER G. Lhermitte sign and urinary retention: atypical presentation of oxaliplatin neurotoxicity in four patients. *Cancer* 2002; 94: 2434–2440. <http://dx.doi.org/10.1002/cncr.10500>
- [33] OTTAIANO A, NAPPI A, TAFUTO S, NASTI G, DE DIVITIIS C et al. Diabetes and body Mass Index are associated with neuropathy and prognosis in colon cancer patients treated with capecitabine and oxaliplatin adjuvant chemotherapy. *Oncology* 2016 [Epub ahead of print]. <http://dx.doi.org/10.1159/000442527>
- [34] SHAHRIANI-AHMADI A, FAHIMI A, PAYANDEH M, SADEGHI M. Prevalence of oxaliplatin-induced chronic neuropathy and influencing factors in patients with colorectal cancer in Iran. *Asia Pac J Cancer Prev* 2015; 16: 7603–7606. <http://dx.doi.org/10.7314/APJCP.2015.16.17.7603>
- [35] ARGYRIOU AA, BRIANI C, CVALETTI G, BRUNA J, ALBERTI P et al. Advanced age and liability to oxaliplatin-induced peripheral neuropathy: post hoc analysis of a prospective study. *Eur J Neurol* 2013; 20: 788–794. <http://dx.doi.org/10.1111/ene.12061>
- [36] ARGYRIOU AA, CVALETTI G, ANTONACOPOULOU A, GENAZZANI GG, BRIANI C et al. Voltage-gated sodium channel polymorphisms play a pivotal role in the development of oxaliplatin-induced peripheral neurotoxicity: results from a prospective multicenter study. *Cancer* 2013; 119: 3570–3577. <http://dx.doi.org/10.1002/cncr.28234>
- [37] RUZZO A, GRAZIANO F, GALLI F, GIACOMINI E, FLORIANI I et al. Genetic markers for toxicity of adjuvant oxaliplatin and fluoropyrimidines in the phase III TOSCA trial in high-risk colon cancer patients. *Sci Rep* 2014; doi: 10.1038/srep06828. <http://dx.doi.org/10.1038/srep06828>
- [38] TERRAZZINO S, ARGYRIOU AA, CARGNIN S, ANTONACOPOULOU AG, BRIANI C et al. Genetic determinants of chronic oxaliplatin-induced peripheral neurotoxicity: a genome-wide study replication and meta-analysis. *J Peripher Nerv Syst* 2015; [Epub ahead of print]. <http://dx.doi.org/10.1111/jns.12110>
- [39] IBRAHIM A, HIRSCHFELD S, COHEN MH, GRIEBEL DJ, WILLIAMS GA et al. FDA drug approval summaries: oxaliplatin. *Oncologist* 2004; 9: 8–12. <http://dx.doi.org/10.1634/theoncologist.9-1-8>
- [40] HOFF PM, SAAD ED, COSTA F, COUTINHO AK, CAPONERO R et al. Literature review and practical aspects on the management of oxaliplatin-associated toxicity. *Clin Colorectal Cancer* 2012; 11: 93–100. <http://dx.doi.org/10.1016/j.clcc.2011.10.004>
- [41] AROTARENA R, CALES V, BERTHELEMY P, PARENT Y, MALET M et al. Severe sinusoidal lesions: a serious and overlooked complication of oxaliplatin-containing chemotherapy? *Gastroenterol Clin Biol* 2006; 30: 1313–1316. [http://dx.doi.org/10.1016/S0399-8320\(06\)73542-4](http://dx.doi.org/10.1016/S0399-8320(06)73542-4)
- [42] SAIF MW. Hypersensitivity reactions associated with oxaliplatin. *Expert Opin Drug Saf* 2006; 5: 687–694. <http://dx.doi.org/10.1517/14740338.5.5.687>
- [43] MASON JM, REES GJ. Oxaliplatin-induced acute thrombocytopenia. *J Oncol Pharm Pract* 2011; 17: 433–435. <http://dx.doi.org/10.1177/1078155210381287>
- [44] O'DEA D, HANDY CM, WEXLER A. Ocular changes with oxaliplatin. *Clin J Oncol Nurse* 2006; 10: 227–229. <http://dx.doi.org/10.1188/06.CJON.227-229>
- [45] MESQUIDA M, SANCHEZ-DALMAU B, ORTIZ-PEREZ S, PELEGRIN L, MOLINA-FERNANDEZ JJ et al. Oxaliplatin-related ocular toxicity. *Case Rep Oncol* 2010; 3: 423–427. <http://dx.doi.org/10.1159/000322675>
- [46] BERRETTA M, TAIBI R, BEARZ A, LA MURA N, BERRETTA S et al. Dysphonia as an unusual toxic event of oxaliplatin-based chemotherapy. *J Chemother* 2004; 16: 595–598. <http://dx.doi.org/10.1179/joc.2004.16.6.595>
- [47] SHAH A, UDWADIA ZF, ALMEL S. Oxaliplatin-induced lung fibrosis. *Indian J Med Paediatr Oncol* 2009; 30: 116–118. <http://dx.doi.org/10.4103/0971-5851.64259>
- [48] WATKINS J, SLADE JH, PHAN A, ENG C, WEISSFERDT A et al. Fatal diffuse alveolar damage associated with oxaliplatin administration. *Clin Colorectal Cancer* 2011; 10: 198–202. <http://dx.doi.org/10.1016/j.clcc.2011.03.019>
- [49] PROCHILO T, ABENI C, BERTOCCHI P, ZANIBONI A. Oxaliplatin-induced lung toxicity. Case report and review of the literature. *Curr Drug Saf* 2012; 7: 179–182. <http://dx.doi.org/10.2174/157488612802715672>
- [50] ZEDAN AH, HANSEN TF, FEX SVENNINGSEN A, VILHOLM OJ. Oxaliplatin-induced neuropathy in colorectal cancer: many questions with few answers. *Clin Colorectal Cancer* 2014; 13: 73–80. <http://dx.doi.org/10.1016/j.clcc.2013.11.004>
- [51] VELASCO R, BRUNA J. Chemotherapy-induced peripheral neuropathy: an unsolved issue. *Neurologia* 2010; 25: 116–131. [http://dx.doi.org/10.1016/S0213-4853\(10\)70036-0](http://dx.doi.org/10.1016/S0213-4853(10)70036-0)

- [52] PACHMAN DR, BARTON DL, WATSON JC, LOPRINZI CL. Chemotherapy-induced peripheral neuropathy: prevention and treatment. *Clin Pharmacol Ther* 2011; 90: 377–387. <http://dx.doi.org/10.1038/clpt.2011.115>
- [53] KUS T, AKTAS G, ALPAK G, KALENDER ME, SEVINC A et al. Efficacy of venlafaxine for the relief of taxane and oxaliplatin-induced acute neurotoxicity: a single-center retrospective case-control study. *Support Care Cancer* 2015 [Epub ahead of print].
- [54] MUKHERJEE N, CARROLL BL, SPEES JL, DELAY ER. Pre-treatment with amifostine protects against cyclophosphamide-induced disruption of taste in mice. *PLoS ONE* 2013; doi: 10.1371/journal.pone.0061607.
- [55] CERESA C, AVAN A, GIOVANETTI E, GELDOF AA, AVAN A et al. Characterization of and protection from neurotoxicity induced by oxaliplatin, bortezomib and epothilone-B. *Anti-cancer Res* 2014; 34: 517–523.
- [56] SALEHI Z, ROAYAEI M. Effect of vitamin E on oxaliplatin-induced peripheral neuropathy prevention: A randomized controlled trial. *Int J Prev Med* 2015; doi: 10.4103/2008-7802.169021. <http://dx.doi.org/10.4103/2008-7802.169021>
- [57] SAIF MW, REARDON J. Management of oxaliplatin-induced peripheral neuropathy. *Ther Clin Risk Manag* 2005; 1: 249–258.
- [58] HAN CH, KHWAOUNJOO P, KILFOYLE DH, HILL A, MCKEAGE MJ. Phase I drug-interaction study of effects of calcium and magnesium infusions on oxaliplatin pharmacokinetics and acute neurotoxicity in colorectal cancer patients. *BMC Cancer* 2013; doi: 1186/1471-2407-13-495.