### CLINICAL STUDY

# Association of multispecific red blood cell alloimmunization with HLA-Class II variants is related to Rh phenotypes

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#### ABSTRACT

AIM: It remains unclear, why only some patients form alloantibodies against foreign RBC antigens. Transfusion of red blood cell (RBC) products and pregnancy are the most relevant causes of immunization against RBC alloantigens. Here we investigated the relationship between RBC alloantibodies, Rh phenotype, and HLA phenotype among patients with multiple RBC alloantibodies

METHODS: In a group of 124 multi-responders – including both pregnant women and transplant recipients – we analysed the distribution of HLA-Class II variants in subgroups of multi-responders to RBC alloantigens according to their Rh status.

RESULTS: As expected, the RhD-negative phenotype was overrepresented in our alloimmunized group (49.2 %) compared to in the general population. Importantly, HLA-DRB1\*15 carriers were significantly overrepresented among D-negative multi-responders compared to D-positive multi-responders (Pc = 0.045). Furthermore, the linked HLA-DRB1\*13, HLA-DQB1\*06, and HLA-DQA1\*01 variants were more frequent in individuals with the DCCee phenotype than in other RhD-positive phenotypes.

CONCLUSION: Our present findings showed that RBC multispecific alloimmunization was associated with particular HLA-Class II variants based on Rh status (*Tab. 3, Ref. 22*). Text in PDF *www.elis.sk* KEY WORDS: HLA, red blood cell (RBC) antigens and antibodies (Abs), Rh phenotypes, transfusion therapy.

## Introduction

Blood product transfusion is an essential and irreplaceable supportive therapy in many diseases. However, even when the donor and recipient are conventionally matched in terms of ABO (D and K antigens are taken into account strictly only in young girls and in women in the fertile period), red blood cell (RBC) product usage can lead to the formation of alloimmune antibodies against the other RBC alloantigens. Although such RBC alloimmunization is a serious and frequent complication of transfusion therapy (1), only a minority of individuals, who receive RBC transfusion develop alloantibodies (2, 3). RBC alloimmunization incidence rates range from 18–65 % and are significantly higher in patients with sickle cell disease or thalassemia compared to general population (4–8).

It is not yet fully understood, why only some RBC product recipients form alloantibodies against the foreign donor RBC antigens, but several established risk factors are associated with RBC alloimmunization, including donor and recipient RBC antigen disparity, recipient genetic factors, and inflammatory state. With regards to immunogenetic factors, several studies have demonstrated an association between the presence of single alloantibodies and particular HLA-DRB1 variants (9–13). Moreover, RBC multi-responder status is generally associated with HLA-DRB1\*15 variant (13–15), supporting the concept that HLA restriction may play an important role in susceptibility to RBC alloimmunization.

Based on previous findings regarding genetic susceptibility to RBC alloimmunization, in the present study, we focused on patients with multi-specific antibody formation, i.e., "multi-responders". Here we investigated the relationship between RBC alloantibodies, Rh phenotype, and HLA phenotype within a group of patients with multiple RBC alloantibodies.

### Materials and methods

#### Study population

Residual blood samples were collected from RBC-immunized individuals between March 2014 and December 2015. We performed pre-sampling based on antibody specificities from the Blood Centre database of the University Hospital of Ostrava. The study ultimately enrolled 124 patients with more than one RBC alloantibody ("multi-responders"), i.e., with selected combinations of alloantibodies against Rh (D, C, E, c, e), Kell (K), Kidd (Jk<sup>b</sup>, Jk<sup>a</sup>), and Duffy (Fy<sup>a</sup>) antigens.

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Tab. 1. Number (N) of individuals, F/M ratio, and RhD phenotype of the subgroups according to the particular antibody combinations in multi-responders (n = 124).

Antibody combination	Number of individuals	F/M ratio	Number of RhD positive	Number of RhD negative	RhCE phenotypes
C+D*	46	2.8	0	46	ccee
C+D (only 2 Abs)	33	2.6	0	33	ccee
E+ other Abs	61	2.8	44	17	-
E+c*	20	2.0	20	0	CCee
E+Cw*	14	6	12	2	Ccee CCee
E+D*	12	3	0	12	ccee Ccee
E+K/Kp <sup>a</sup> ; E+Le <sup>a</sup> ; S+Jk <sup>a</sup> /Fy <sup>a</sup> /Lu <sup>a</sup> /M	11	1.75	11	0	Ccee CCee
e+C*	8	3	8	0	ccEE
K+c*; K+Cw	4	3	4	0	CCee
eq:starsessessessessessessessessessessessesses	10	2.3	7	3	_
C+G**	2	-	0	2	ccee

\* Other specificities could be present. \*\*Individuals with anti-C+D antibodies were differentiated from those with anti-G antibodies only among pregnant women; these specificities could not be distinguished in transfused patients. Rh phenotypes were collected from the database of the University Hospital of Ostrava.

Tab. 2. Comparisons of phenotypic frequencies of HLA-DRB1, HLA-DQB1, and HLA-DQA1 variants among the D-negative (n = 61) and D-positive (n = 63) multi-responders.

Allelic groups	Percentage of RhD negative	Percentage of RhD positive	р	OR	95% CI	Pc value <sup>a</sup>
DRB1*01	22.9	22.2	1.0			
DRB1*03	19.7	28.6	0.2			
DRB1*04	11.5	22.2	0.1			
DRB1*07	29.5	30.2	1.0			
DRB1*08	3.3	3.2	1.0			
DRB1*09	3.3	0	0.8			
DRB1*10	3.3	1.6	1.0			
DRB1*11	21.3	20.6	1.0			
DRB1*12	3.3	1.6	1.0			
DRB1*13	16.4	28.6	0.1			
DRB1*14	1.6	6.3	0.2			
DRB1*15	50.8	25.4	0.0035	3.04	1.4-6.5	0.045
DRB1*16	1.6	7.9	0.1			
DQB1*02	42.6	46.0	0.7			
DQB1*03	39.3	47.6	0.4			
DQB1*04	1.6	1.6	1.0			
DQB1*05	32.8	39.7	0.4			
DQB1*06	55.7	44.4	0.2			
DQA1*01	75.4	74.6	1.0			
DQA1*02	29.5	30.2	1.0			
DQA1*03	14.7	22.2	0.3			
DQA1*04	3.3	1.6	0.6			
DQA1*05	37.7	50.8	1.1			
DQA1*06	0	1.6	0.2			

<sup>a</sup> Pc value of < 0.05 is considered significant. N – number of cases.

## RBC antibody testing techniques

We identified clinically relevant RBC antibodies by routine indirect antiglobulin testing in a low ionic strength solution using a gel microcolumn hemagglutination technique (DG Gel®; Grifols, Spain) with an 11-cell diagnostic panel of red blood cells (Identisera Diana; Grifols, Spain). We used an in-house adsorption technique to resolve the anti-C+D mixture of antibodies from anti-G in pregnant women.

# DNA extraction and HLA genotyping methods

DNA was isolated from blood samples using a spin column method (High Pure PCR Template Preparation Kit; Roche Diagnostics GmbH, Germany) or an automated technique (Maxwell 16 Blood DNA Purification Kit; Promega Corp., WI, USA). After obtaining an informed consent from the patients, HLA genotyping was performed. In the cohort of multi-responders, HLA genotyping of the -DRB1 and -DQB1/-DQA1 loci was performed by polymerase chain reaction with sequence-specific oligoprobes (PCR-SSO), using Luminex technology with an analysis platform of a microsphere-based assay (LABType SSO DRB1 and LABType SSO DQA1/DQB1; One Lambda, CA, USA).

### Statistical analyses

The phenotypic frequencies of the HLA-DRB1, HLA-DQB1, and HLA-DQA1 variants were compared between RhD-positive and RhD-negative patient subgroups, and between RhD-positive patients with the DCCee phenotype and RhD-positive patients with different RhD phenotypes (i.e., DCcee, DCcEe, DccEe, and DccEE). These comparisons were performed using Pearson's test or Fisher's exact test (when there were few patients in a subgroup). We calculated the odds ratio (OR) with a 95 % confidence interval (CI), and the p value. Nominal p values of < 0.05 were corrected for multiple comparisons using the Bonferroni method. Between-group differences with corrected p values (Pc) of < 0.05 were considered statistically significant.

## Results

Our heterogeneous cohort included 124 alloimmunized patients (female-to-male [F/M] ratio, 2.76) with at least two RBC alloantibody specificities (multi-respon-

ders). In these patients, we detected 19 different RBC antibodies (Tab. 1). Of the 124 individuals, 72 (69 %; F/M ratio, 2.4) underwent transfusion therapy at the University Hospital of Ostrava (median, 4 units; range, 1–48 units) and were tested within the follow-up period at our department, while 31 patients (F/M ratio, 1.7) with multiple antibody specificities had no transfusion

Allelic	Percentage of Rh DCCee	Percentage of all		OR	05% CI	Davalua
groups		other RhD(+)	р	OK	95% CI	Pc value <sup>a</sup>
	phenotype	phenotypes				
DRB1*01	24.2	20.0	0.8			
DRB1*03	30.3	26.7	0.8			
DRB1*04	9.1	36.7	0.013	0.17	0.04-0.7	NS
DRB1*07	24.2	36.7	0.4			
DRB1*08	0	6.7	0.2			
DRB1*09	0	0	1.0			
DRB1*10	0	3.3	0.5			
DRB1*11	21.2	6.7	0.2			
DRB1*12	0	3.3	0.1			
DRB1*13	45.5	3.3	0.0001	24	2.9-199	0.015
DRB1*14	3.0	10.0	0.3			
DRB1*15	27.3	23.3	0.8			
DRB1*16	9.1	6.7	0.4			
DQB1*02	42.4	50.0	0.8			
DQB1*03	39.4	56.7	0.3			
DQB1*04	0	3.3	0.5			
DQB1*05	39.4	40.0	1.0			
DQB1*06	63.6	23.3	0.002	5.8	1.9-17.3	0.01
DQA1*01	93.9	53.3	0.0003	13.5	2.7-67.2	0.002
DQA1*02	24.2	36.7	0.5			
DQA1*03	9.1	36.7	0.014	0.17	0.04-0.7	NS
DQA1*04	0	3.3	0.5			
DQA1*05	54.5	46.7	0.6			
DQA1*06	0	3.3	0.5			

Tab. 3. Comparisons of phenotypic frequencies of HLA-DRB1, HLA-DQB1, and HLA-DQA1 variants among multi-responders with the DCCee phenotype (n = 33) and all other D-positive phenotypes (n = 30).

<sup>a</sup> Pc value of < 0.05 is considered significant. NS - not significant. N - number of cases.

history at our hospital. The cohort included 91 women, of whom 20 (22 %) had alloantibody-complicated pregnancies. The mean age was 66.3 years (range, 42–90 years) among the 33 males, 69 years (range, 39–91 years) among the 71 females, excluding the pregnant women, who had the average age of 31.9 years (range, 22–43 years).

Analysis of multiple RBC alloantibody profiles and RhD phenotypes revealed two main patterns. As expected, antibody combinations including anti-D were associated with a RhD-negative phenotype, while the combinations including anti-E were common for both major RhD phenotypes. However, anti-E was formed by D-negative individuals at a lower frequency than by D-positive individuals (27.9 % vs 72.1 %). Anti-D was more commonly present in combination with some common specificities, including D+C (75.4 %) and D+E (19.7 %), and much less frequently combined with others, e.g., D+K and D+Le<sup>a</sup> (3.3 %). The D-negative group exhibited a high F/M ratio (2.8), necessitating that we consider pregnancy-mediated RBC alloimmunization, in addition to transfusion. Several antibody combinations were apparent only in RhDpositive cases, including E+c (30.2 %), e+C (12.7 %), E+ other (non-Rh blood group system) specificities (17.5%), and K+c/Cw/C (6.3 %). Other specificities occurred in both RhD phenotypes but predominantly in RhD-positive cases. A combination of E+Cw antibodies was found in 11.3 % of individuals, and a mixture of non-E and non-D antibodies occurred in 10.5 % of cases.

The RhD-negative phenotype was observed in 49.2 % of multiresponders, and the ratio of RhD-positive to RhD-negative individuals was 1.07 among females and 0.94 among males. As expected, the combination of anti-C+D antibodies was found only in D-negative individuals (F/M ratio, 2.6, including two pregnant women). In contrast, the combination of anti-E+other specificities (any of c/Cw/C/D/K/Lea/Fya/Jka/Jkb/M, etc.), occurred in patients with both major RhD phenotypes. The frequency of individuals carrying anti-C+D antibodies reached 75.4 %, representing the most common alloantibody combination in RhD-negative individuals. The combination of anti-E+other specificities was detected in 61 cases (49.2 %) among all 124 multi-responders, and in 44 cases (69.8%) among the 63 RhDpositive individuals (F/M ratio, 2.8, including 10 pregnant females). Table 1 shows all observed RBC antibody combinations.

Further exploration of a subgroup of 20 pregnant women with multiple RBC alloantibodies revealed 8 RhD-negative and 12 RhD-positive phenotypes. Among pregnant women, the anti-E+c antibody combination was detected in 5 cases, anti-E+Cw in 4 cases, anti-D+E, C+G, C+D in 2 cases each, and other combinations in 1 case each (i.e., E+Le<sup>a</sup>, D+Le<sup>a</sup>, C+E, e+C and c+K).

The proportion of the RhD-negative phenotype was substantially higher in our group of multi-responders (49.2 %) compared to that reported in Caucasian populations (range, 12-18 %) and HLA-DRB1\*15 has previously been described as susceptibility marker for RBC multiple alloimmunization, therefore, we performed a sub-analysis of the HLA-Class II variants according to the patients' RhD status. Importantly, in our group of multiresponders, we found a significantly higher phenotypic frequency (proportion of carriers) of HLA-DRB1\*15 in D-negative patients than in D-positive individuals (Pc = 0.045). This finding was in agreement with analyses of the relationship between HLA-DRB1 and combinations of alloantibodies, which revealed 28 (60.9 %) DRB1\*15 carriers among 46 individuals forming anti-C+D alloantibodies, but only 3 DRB1\*15-positive subjects exhibiting other alloantibody combinations. Table 2 presents the phenotypic frequencies of HLA-DRB1, HLA-DQB1, and HLA-DQA1 in Dnegative and D-positive multi-responders.

Among the D-positive multi-responders, we performed a more detailed investigation of the DCCee phenotype, which was the most prevalent phenotype among D-positive cases (52.4 %; F/M ratio, 2.0). Notably, the individuals with this phenotype could theoretically form combinations of anti-E+c alloantibodies. In fact, among 33 patients with the DCCee phenotype, 20 cases (60.6%) formed the anti-E+c combination. We then compared the distribution of HLA-Class II variants between the patients with the DCCee phenotype and all other RhD-positive phenotypes. Individuals with the DCCee phenotype exhibited a significantly higher frequency

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of HLA-DRB1\*13 (Pc = 0.015), and further association of HLA alleles in linkage disequilibrium (HLA-DQB1\*06, Pc = 0.01; HLA-DQA1\*01, Pc = 0.002) (Tab. 3).

## Discussion

In this study, we investigated the distribution of HLA-Class II variants within subgroups of multi-responders to RBC alloantigens, with the aim of elucidating associations between HLA-Class II alleles, Rh phenotypes, and RBC alloimmunization. In the previous studies, HLA-DRB1\*15 has been associated with multiple RBC antibody responsiveness (13–15) and with anti-Fy<sup>a</sup> alloimmunization (10, 11, 16). Here we found that the DRB1\*15 variant was twice as frequent in multi-responders with the D-negative phenotype as in RhD-positive multi-responders. In other words, it appears that DRB1\*15 was associated with multiple alloimmunization (namely anti-C+D), particularly among D-negative individuals. Additionally, HLA-DRB1\*13 and the variants in LD with DRB1\*13 (namely HLA-DQB1\*06 and HLA-DQA1\*01) were associated with the DCCee phenotype, but not with other D-positive phenotypes (i.e., DCcee, DCcEe, and DccEE).

In this analysis, we applied a new approach for stratifying the genetic risk for multiple alloimmunization conferred by HLA variants with the implementation of major RBC phenotypes (antigens), since an absence of certain RBC antigen(s) represents a necessary condition for the patients to be immunized against such antigen(s) and to develop alloantibodies. These findings are in concordance with our previous study, in which we found that the DRB1\*15 allele was associated with C+D alloantibodies, and the HLA-DRB1\*13 allele (HLA-DQB1\*06 and HLA-DQA1\*01) was associated with E+c alloantibodies (14). Multiple antibody formation seems to be less dependent on the presence of antigenspecific HLA-Class II restriction genes, and more dependent on the immunization to a first and often single antibody. Moreover, immune priming to one RBC alloantigen can directly increase a humoral response to additional RBC alloantigens following subsequent transfusion (17).

In our group of multi-responders, 112 individuals (90.3%) were negative for RhE antigen (ratio of RhD-positive to RhD-negative, 0.84), and this group could be immunized by allogeneic RhE antigen and form anti-E antibodies. In fact, we found anti-E antibodies (together with other specificities) in 61 cases (54.5%), suggesting that anti-E immunization was a frequent complication of transfusion in both RhD groups. Therefore, we additionally analysed the distribution of HLA-DRB1 variants according to the RhE-positive and RhE-negative phenotypes. However, we found no significant changes in the distribution of HLA-Class II variants between the RhE-negative and RhE-positive cases, possibly due to the small size of the RhE-positive subgroup.

Long-term evidence shows that patients with the D-negative phenotype are obviously more prone to RBC alloimmunization. In the recent report from the large Recipient Epidemiology and Donor Evaluation Study (REDS-III), the proportion of RBC alloimmunized patients was 45 % higher among D-negative patients than in D-positive patients (18). This finding is in agreement with the higher frequency of D-negative phenotypes (49.2 %) among the multi-responders in our study. Overall, accumulating evidence indicates that transfused patients with the D-negative phenotype carry a higher risk of developing multispecific RBC alloantibodies.

With regards to the previous reports that HLA-DRB1\*15 confers susceptibility to RBC alloimmunization, Sippert et al compared alloimmunized and non-alloimmunized patients, and identified a significant relationship between Rh alloimmunization and DRB1\*15 (19). Similarly, Moritz et al reported that HLA-DRB1\*15 was associated with an increased risk of Rh alloimmunization (20). Several studies demonstrated that HLA-DRB1\*15 was frequently present in multiple RBC antibody responders and might define a high-responding phenotype (13–15). The other recent investigations confirmed that the frequency of HLA-DRB1\*15 was higher in the multi-responder group than in non-responders, which support the idea that HLA typing before transfusion could be helpful in reducing alloimmunization in chronically transfused patients (21,22).

Despite growing data supporting a relationship between HLA and RBC alloimmunization, the exact mechanism underlying the association between DRB1\*15 and immunization against multiple alloantigens is unknown. Some authors speculate that these findings should not be considered an evidence of more efficient antigen presentation, but rather indications that DRB1\*15 is part of a susceptible and immune response "amplifier" haplotype, e.g., for certain cytokine profiles (15).

# Conclusions

In conclusion, our present results suggest that the carriage of HLA-DRB1\*15 in the D-negative phenotype, and of HLA-DRB1\*13 (together with DQB1\*06 and DQA1\*01) in the DC-Cee phenotype, may further increase susceptibility to multi-responsiveness to RBC alloantigens. These findings may contribute to the identification of patients at higher risk of multiple RBC alloimmunization. For such patients, an extended RBC antigen matching (D and E antigens) could be considered, especially in transfusion-dependent D-negative patients possessing HLA-DRB1\*15 and/or those patients, who are E-negative with the HLA-DRB1\*13 variant.

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