

Cytidine deaminase 435C>T polymorphism relates to gemcitabine-platinum efficacy and hematological toxicity in Chinese non-small-cell lung cancer patients

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CDA 435C>T is reported as a functional SNP but there is no relevant research on the efficacy/hematological toxicity of gemcitabine-platinum treatment in Chinese non-small-cell lung cancer (NSCLC) patients. In this study, 63 patients who received radical resection (stage IB or IIIA) and 100 advanced NSCLC (stage IIIB or IV) patients have been collected, and all were treated with gemcitabine-platinum regimens from February of 2017 to February 2019. *CDA 435C>T* polymorphisms have been detected by PCR and direct sequencing. CT scan results and blood routine examinations have been collected to evaluate the efficacy and hematological toxicity. Then the relationships have been analyzed about *CDA 435C>T*. We found that T allele carriers have better therapy response ($p<0.05$). Patients carrying *CDA 435C/T* or *T/T* genotypes are statistically associated with a better efficacy ($p<0.05$) but are more prone to leukopenia ($p<0.05$). Although there is no difference in grade III–IV hematologic toxicity of 163 patients ($p>0.05$), in the case of 100 stage IIIB–IV patients, the *CDA 435C/T* and *T/T* have an increased risk ($p<0.05$). Regarding the *CDA 435C>T* polymorphism in the Chinese population, in patients with the mutant T allele, gemcitabine is more effective, but they are more prone to suffering from hematological toxicity, especially the late-stage patients.

Key words: NSCLC, gemcitabine, cytidine deaminase, silent mutation, toxicity

Lung cancer as one of the most common malignant tumors causes the leading cancer-related death in the world [1, 2]. NSCLC accounts for more than 80% of the total lung cancer, about 57% of those diagnosed at an advanced stage (stage IIIB–IV), and lost the chance of surgery [3]. Gemcitabine combined with platinum is the first-line chemotherapy regimen for NSCLC [4]. Gemcitabine (2', 2'-difluorodeoxycytidine; dFdC; GEM/Gem) is a synthetic pyrimidine analog with broad-spectrum activity against several solid tumors [5]. Its anti-proliferative function exerts by inhibiting DNA synthesis [6]. The metabolic process is shown in Figure 1.

Polymorphisms of metabolism enzymes and transporters affect both the response and toxicity of gemcitabine [7, 8]. As the key metabolism enzyme, cytidine deaminase (CDA) is responsible for the inactivation of 90% of gemcitabine

[9]. Many single nucleotide polymorphisms (SNPs) in the *CDA*-encoding gene have been confirmed to associate with the *in vitro* activity and clinical outcome of gemcitabine, such as SNPs showed in National Center for Biotechnology Information (NCBI) SNP database: *CDA 79A>C* (rs2072671, Lys27Gln), *CDA 208G>A* (rs60369023, Ala70Thr), and *CDA 435C>T* (rs1048977, Thr145Thr), etc.; the *CDA 435C>T*, located on exon 4 of GRCh38.p12 (position, chr 1: 20618562). One clinical study in Caucasians shows that the *CDA 79A>C/435C>T* polymorphisms influenced the *CDA* activity and clinical outcome in NSCLC patients [10]. Another study with 192 Caucasian patients reports that the *CDA 435T/T* genotype is a better response and associates with a significant risk of non-hematological toxicity of grade \geq [11]. For Asians, the specific *CDA 208G>A* polymorphism is particular that associates with gemcitabine pharma-

cokinetics, and the emergence of hematologic toxicity subsequently [12–14]. A study with only 53 Asian NSCLC patients (not Chinese) has researched *CDA 435C>T* variants [15]. It is known that drug reaction varies between races [16, 17]. In Chinese patients, a study of *CDA 435C>T* polymorphism is still lacking, especially in the clinical prompt observation that is important in the detection and treatment of adverse drug reactions. In this study, we will verify the relationship between *CDA 435C>T* polymorphism and gemcitabine efficacy/hematological toxicity, to provide a foundation for clinical individualized medication.

Patients and methods

Subjects. In the Chinese Huaihai regional population, a total of 163 Chinese NSCLC patients were recruited in the Affiliated Hospital of Xuzhou Medical University from February 2017 to February 2019. Among them, 63 patients (TNM clinical stage IB or IIIA, NSCLC) underwent radical resection, while the remaining 100 patients (TNM clinical stage IIIB or IV, NSCLC) were treated with palliative chemotherapy.

All the patients firstly received gemcitabine-platinum chemotherapy, age >18 years, with good compliance, not related to each other. Excluded other drugs caused blood toxicity, hematologic disease, radio therapeutics, mental system diseases, severe complications and other exceptional cases. This study was approved by the ethics committee of the Affiliated Hospital of Xuzhou Medical University and all patients provided informed consent before participation.

Treatment. All therapeutic regimens were based on the NCCN recommendation and were not intervened. GP regimen: cisplatin/gemcitabine regimens (25 mg/m² of cisplatin infused over 60 minutes on days 1–3, plus 1000 mg/m² of gemcitabine administered intravenously over 30 minutes on days 1 and 8); GN regimen: nedaplatin/gemcitabine regimens (30 mg/m² of nedaplatin infused over 60 minutes on day 1–3, plus 1000 mg/m² of gemcitabine administered intrave-

nously over 30 minutes on days 1 and 8). Both regimens were repeated every 3 weeks. All patients were treated at least for four to six cycles.

Evaluation criteria. Patient's information was recorded and evaluated prior to chemotherapy, including: gender, age, body mass index (BMI), histology, medical history, physical examination. The efficacy was evaluated by CT scans every 2 cycles of chemotherapy, then assessed using RECIST 1.1 criteria [18], which divided them into complete response (CR), partial response (PR), stable disease (SD), and progressive disease (PD). The objective response rate (ORR) was calculated with CR and PR, and the disease control rate (DCR) was calculated with CR, PR, and SD. Correlation between the efficacy and polymorphism was analyzed in 100 patients with measurable focus.

Blood routine examination was recorded on days 1 and 8 of each treatment cycle. Hematological toxicity was assessed using CTCAE 4.0 version [19] and mainly contains: leukopenia, thrombopenia, and aglobulia (Supplementary Table S1), and was analyzed in all 163 and advanced 100 patients separately. If one of them was in compliance with degree III or IV, it might be considered as a serious level.

Genotypes. Genomic DNA was extracted by a DNA extraction kit (Baio Technology, Shanghai, China) from 5 ml of patient's whole blood. The target fragment of *CDA 435C>T* gene was 323 bp in length, amplified with PCR: forward primer: GTCTCTCACGCCAGCTTTGC; reverse primer: CCCAAGGCAGGTTGCTAGGA, (synthesized by Sangon Biotech, Shanghai, China). The PCR amplification condition was as follows: denaturation for 5 min, and then 94 °C for 30 s, annealing at 60 °C for 30 s, extend at 72 °C for 40 s (35 cycles), followed by 72 °C for 10 min (ABI 2720, America Applied Biosystems company). Then the fragments verified by electrophoresis were purified and sequenced using ABI 3730 Sequence Detection System (Sangon Biotech Shanghai Co Ltd, Shanghai, America). The *CDA 435C>T* genotypes were verified by Chromas MFC Application 2.22 comparison software (Technelysium Pty Ltd, South Brisbane, Australia)

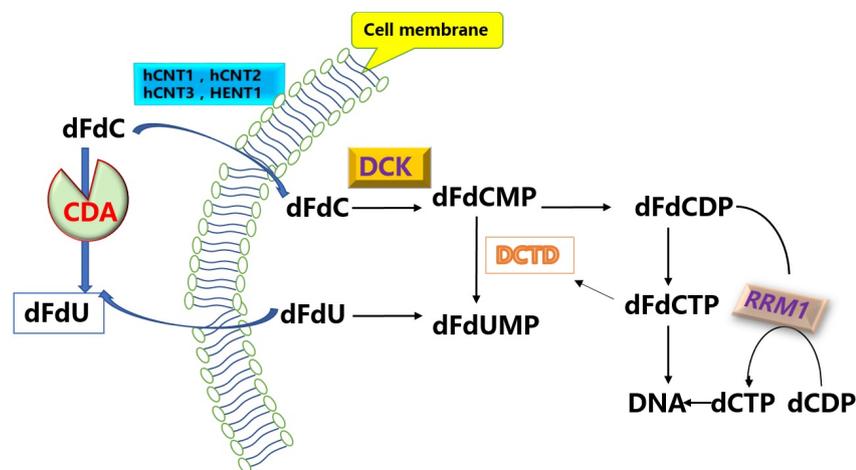


Figure 1. Gemcitabine metabolic processes *in vivo*. Abbreviations: CDA-drug inactivation; DCK-deoxidization cytidine kinase, drug-metabolizing enzyme; DCTD-deoxidization cytidine monophosphate acid deaminase; RRM1-nucleotide reductase, drug target enzyme; dFdCMP-gemcitabine a phosphate; dFdCDP-gemcitabine diphosphate; dFdCTP-gemcitabine triphosphate; dCDP-DNA cell pyrimidine nucleoside diphosphate; DCTP-deoxidization cytidine triphosphate. Notes: dFdCDP and dFdCTP for active products. Drug transporters: hCNT1; hCNT2; hCNT3; HENT1.

(Supplementary Figure S1). The *T* allele is mutant-type, *CDA 435C/T* and *T/T* (or *CDA 435 C/T+T/T*) represent genotypes with the mutation.

Statistical analysis. Data were analyzed using SPSS software 20.0 (SPSS Inc. Chicago, IL), figures were made by Power Point 2010 (Microsoft Inc, Washington, USA) and GraphPad Prism software 7.0 (GraphPad Inc, San Diego, CA, USA). One-way ANOVA was used for comparison of multiple mean values, such as age, BMI, etc. Histology was analyzed by Fisher's Exact Test. Hardy-Weinberg test used the Chi-square test to analyze the genetic balance. Pearson's χ^2 test was employed to analyze the correlation between genotypes and the efficacy/hematological toxicity.

If the genotype frequency was lower or their responses were common, may be combined. Mean \pm standard deviation

and percent (%) represent data. Statistical significance was set at $p < 0.05$.

Results

Baseline characteristics. In all 163 NSCLC patients, no significant correlations were detected with age, gender, BMI, or clinical stage, and no correlations were found between genotypes and tumor histology, similarly in 100 patients ($p > 0.05$, Table 1). Comprised clinical characteristics between GN and GP regimens, there are also no differences in age, gender, BMI, and histology among 100 and 163 patients ($p > 0.05$, Table 2).

The *CDA 435C>T* genotypes in 163 patients: 86 wild-type genotype *C/C* cases, 52.8%; 69 heterozygosity *C/T* cases,

Table 1. Clinical characteristics of 163 and 100 NSCLC patients with different *CDA 435C>T* genotypes.

Characteristic	Genotype (n=163) ($\bar{x} \pm s$, n, %)			p-value	Genotype (n=100) ($\bar{x} \pm s$, n, %)			p-value
	<i>C/C</i> (n=86)	<i>C/T+T/T</i> † (n=77)	<i>C/T</i> (n=69)		<i>C/C</i> (n=55)	<i>C/T+T/T</i> * (n=45)	<i>C/T</i> (n=41)	
Age (years)	63.19 \pm 7.56	61.69 \pm 7.96	61.38 \pm 8.09	>0.05	61.53 \pm 7.38	63.20 \pm 8.05	62.68 \pm 8.16	> 0.05
BMI (kg.m ⁻²)	23.16 \pm 2.74	23.10 \pm 3.10	22.99 \pm 3.04	>0.05	23.11 \pm 2.87	23.05 \pm 3.27	22.90 \pm 3.08	> 0.05
Gender								
Male	70 (81.40)	64 (74.42)	56(81.16)	>0.05	42 (76.36)	35 (84.44)	31 (75.61)	> 0.05
Female	16 (18.60)	13 (15.12)	13 (18.84)		13 (23.64)	10 (22.22)	10 (24.39)	
Histology								
Squamous	75 (87.21)	60 (77.92)	53 (76.81)	>0.05	48 (87.27)	38 (84.44)	35 (85.36)	> 0.05
Adenocarcinoma	9 (10.47)	14 (18.18)	13 (18.84)		5 (9.09)	6 (13.33)	5 (12.20)	
Other histology	2 (2.33)	3 (3.90)	3 (4.35)		2 (3.64)	1 (2.22)	1 (2.44)	
Clinical stage								
I	7 (8.14)	13 (16.88)	10 (14.49)	>0.05	/	/	/	> 0.05
II	14 (16.28)	14 (18.18)	13 (18.84)		/	/	/	
IIIA	10 (11.63)	5 (6.50)	5 (7.25)		/	/	/	
IIIB	30 (34.88)	28 (36.36)	26 (37.68)		30 (54.55)	28 (62.22)	26 (63.41)	
IV	25 (29.07)	17 (22.08)	15 (21.74)		25 (45.45)	17 (37.78)	15 (36.59)	
Regimen								
GP	22 (25.58)	20 (25.97)	18 (26.09)	>0.05	16 (29.09)	14 (31.11)	12 (29.27)	> 0.05
GN	64 (74.42)	57 (74.03)	51 (73.91)		39 (70.91)	31 (68.89)	29 (70.73)	

Notes: †, *: *CT+TT*, carrying at least one allele *T*. In all 163 patient's *T/T* number is 8, in 100 advanced is 4. *T/T* has been combined with *C/T*.

Table 2. Comparison of clinical characteristics between GN and GP regimens.

Characteristic	100 cases of advanced NSCLC		p-value	163 cases of NSCLC		p-value
	GP (n=30) ($\bar{x} \pm s$, n (%))	GN (n=70) ($\bar{x} \pm s$, n (%))		GP (n=42) ($\bar{x} \pm s$, n (%))	GN (n=121) ($\bar{x} \pm s$, n (%))	
Age (years)	63.37 \pm 7.95	61.81 \pm 7.60	0.95	62.88 \pm 8.07	62.34 \pm 7.69	0.88
BMI (kg.m ⁻²)	28.18 \pm 3.18	22.85 \pm 2.74	0.72	23.21 \pm 2.91	22.90 \pm 2.92	0.66
Gender			0.57			0.81
Male	22 (73.33)	55 (78.57)		34 (80.95)	100 (82.64)	
Female	8 (26.67)	15 (21.43)		8 (19.05)	21 (17.36)	
Histology			0.17			0.76
Epidermoid	23 (76.67)	63 (90.00)		34 (80.95)	101 (83.47)	
Adenocarcinoma	5 (16.67)	6 (8.57)		6 (14.29)	17 (14.05)	
Other histology	2 (6.67)	1 (1.43)		2 (4.76)	3 (2.48)	

42.3%; and 8 homozygote mutant genotype *T/T* cases, 4.9% (Supplementary Table S2). The *CDA 435C>T* genotypes of 163 and 100 NSCLC patients are in accordance with the Hardy-Weinberg genetic balance test ($p>0.05$, Supplementary Table S3) that indicates good genetic balance and group representation.

Efficacy/hematological toxicity between GP and GN regimens. 30 patients received GP treatment and 70 received GN treatment. The short-term curative effect of the GP regimen (13.33%) is slightly lower than that of the GN regimen (17.14%), but there is no statistical significance ($p>0.05$, Table 3), and hematological toxicity showed no difference ($p>0.05$, Supplementary Table S4). Among all the 163 patients (42 GP regimens, 121 GN regimens), there was also no significant difference in hematological toxicity ($p>0.05$, Table 3), similarly in grade III–IV hematologic toxicity, not only in 163 (6/42 vs. 16/121, $p>0.05$), but also in 100 advanced patients (5/30 vs. 14/70, $p>0.05$).

***CDA 435C>T* SNP correlates to ORR, DCR in 100 NSCLC patients.** In 100 NSCLC patients (stage IIIB–IV), no significant ORR correlations were observed in the *CDA 435C/T* versus *435C/C* patients (8/41 vs. 5/55, $p=0.140$, Table 4), however, *CDA 435C/T+T/T* showed a meaningful better effect when compared with *CDA 435C/C* (11/45 vs. 5/55, $p<0.05$, Table 4); the *T* allele showed more effective response than the *C* allele (14/49 vs. 18/151, $p<0.05$, Table 4). By analyzing the DCR, patients with mutant *T* allele (*CDA 435C/T+T/T*) better respond than wild-type *C/C* genotype (42/45 vs. 38/55, $p<0.05$).

Table 3. Comparison of curative effects and hematological toxicity between GN and GP regimens.

	N	Patients				p-value
		GP (n)	%	GN (n)	%	
Advanced NSCLC patients, n=100						
PD/SD	84	26	86.67	58	82.86	–
PR/CR	16	4	13.33	12	17.14	0.86
Total NSCLC patients, n=163						
Leukopenia	51	11	26.19	40	33.06	0.41
Thrombopenia	34	7	21.43	27	23.97	0.44
Aglobulia	124	30	71.43	94	77.66	0.41

Table 4. Correlation of *CDA 435C>T* polymorphisms and the ORR of gemcitabine-platinum in 100 NSCLC patients.

Patients,	N	PD/SD (n=84)		PR/CR (n=16)		p-value
		n	%	n	%	
<i>CC</i>	55	50	90.90	5	9.10	–
<i>CT</i>	41	33	80.19	8	19.51	0.14
<i>CT+TT</i>	45	34	75.56	11	24.44	0.04*
<i>C</i>	151	133	88.07	18	11.9	–
<i>T</i>	49	35	71.43	14	28.57	0.01*

Note: * $p<0.05$

***CDA 435C>T* SNP correlates to hematologic toxicity in 163 and 100 patients.** In a total of 163 patients, the significant leukopenia difference can be seen between *CDA 435C/T* and *C/C* genotype ($p=0.049$, Figure 2A). Similarly, a statistically significant difference between patients with *CDA 435C/T+T/T* versus *C/C* was also observed ($p=0.046$;

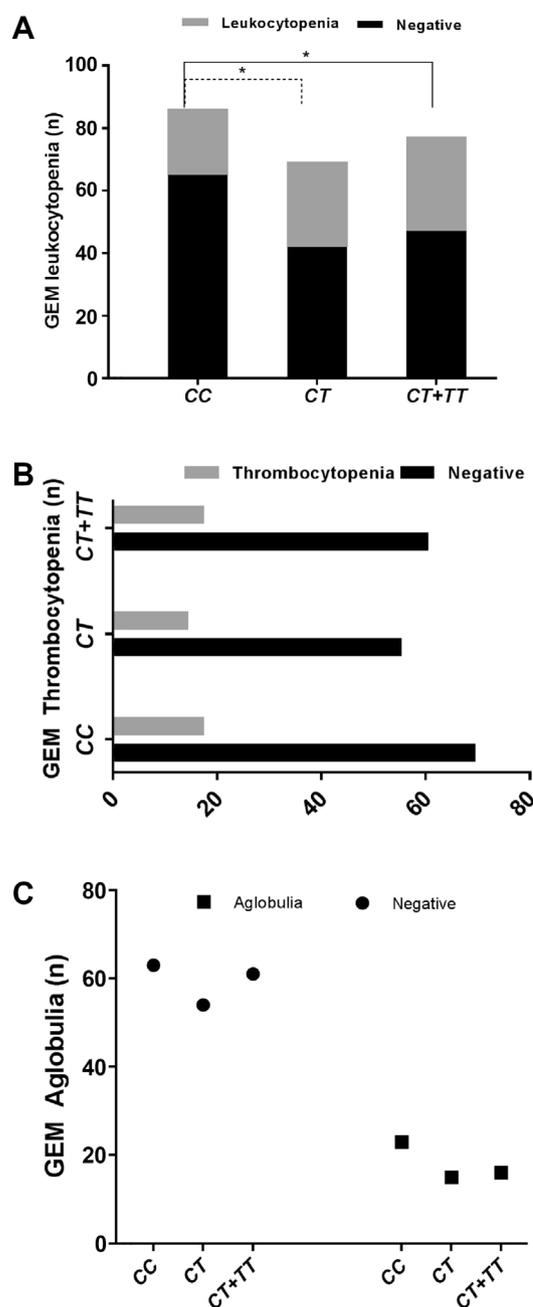


Figure 2. Correlation of *CDA 435C>T* polymorphisms and hematologic toxicity in 163 patients. A) *CDA 435C/T+T/T* and *C/T* statistically significantly suffer from leukopenia (30/77 vs. 21/86; 27/69 vs. 21/86, $p<0.05$). * $p<0.05$. B) No statistical significance in thrombopenia (17/77, 14/69 vs. 17/86, $p>0.05$). C) No statistical significance in hemoglobin (61/77, 54/69 vs. 63/86, $p>0.05$).

Figure 2A). Elsewise, there were no significant differences in thrombocytopenia and hemoglobin ($p>0.05$, Figures 2B, 2C). When we analyzed the grade III–IV hematologic toxicity in 163 patients, no difference was observed ($p>0.05$, Table 5). However, among 100 stage IIIB or IV patients with grade III–IV toxicity, worse conditions were observed in *CDA 435C/T+T/T* patients ($p=0.023$, Table 5).

Discussion

A number of studies evaluated different predictive factors that influence response to current drug therapeutics [20], genetic, and epigenetic alterations occupying an important proportion [21, 22], such as gene mutations, amplification, polymorphic states, or altered gene/protein expression, etc. [23], which was also shown to associate with drug resistance. Among these possible factors, genetic polymorphisms of metabolic enzyme emerged as an attractive target. Because about 90% of gemcitabine in plasma can be degraded to inactive products by CDA [5], the *CDA* SNPs have played an important role in gemcitabine activity [24]. Polymorphisms of the *CDA* gene changing the activity of the CDA may be through the expression and structure of the CDA enzyme, thus transform the chemotherapy effect of gemcitabine [25]. Although *CDA 435C>T* alleles don't cause a change in the amino acid, one study shows it could affect CDA function [11]. *CDA 435C>T* is an obvious genetic variation [26], the T frequency is 0.26, which is similar to the T frequency of Asian in Genome Aggregation Database ($T=0.2556$) in our study, and we evaluated and proved that *CDA 435C>T* polymorphism plays an indispensable function on gemcitabine curative, make up for the data in Chinese NSCLC population [27].

In order to enlarge samples, our data contains two different chemotherapy regimens, GN and GP. The short-term efficacy and hematological toxicity of nedaplatin are comparable to cisplatin in our study, which is consistent with other reports [28, 29]. Therefore, different platinum has no longer been considered as an influencing factor, so the GN regimen was recruited at the same time. In this study, the real-world cases are selected; those schemes that exist interferences from other treatments and methods have been excluded. A total of 163 cancer patients have been collected, fortunately, they are good group representation and verified the positive conclusion, same in 100 advanced patients.

This study analyses the gemcitabine effect not in 163 but 100 NSCLC patients (stage IIIB–IV), because of measurability lesions. Patients with the T allele (*CDA 435C/T+T/T*) have a better therapeutic response than those carrying the wild-type C genotype. Despite racial differences, these data are partially concordant with one result reported by Ludovini et al. [11]. For Chinese NSCLC patients, the results can provide strong evidence for gemcitabine clinical individualization.

The association between *CDA 435C>T* polymorphism and hematologic toxicity has been analyzed in all 163 patients. Patients with *CDA 435C/T* and *T/T* are more prone

Table 5. *CDA 435 C>T* polymorphisms correlation of grade III–IV hematological toxicities in 163 and 100 NSCLC patients.

	Patients, N	Grade III–IV hematological toxicities		p-value
		Positive n (%)	Negative n (%)	
Total NSCLC patients, n=163				
CC	86	8 (11.62)	78 (88.37)	0.10
CT+TT	77	14 (18.18)	63 (81.82)	
Advanced NSCLC patients, n=100				
CC	55	6 (10.90)	49 (89.09)	0.02*
CT+TT	45	13 (28.89)	32 (71.11)	

Note: * $p<0.05$

to leukopenia. Despite grade III–IV hematologic toxicity is not significant in all 163 NSCLC patients; in 100 advanced patients, the *CDA 435C/T+T/T* genotypes significantly increased the risk. It means that advanced patients with mutated T alleles have a higher incidence of grade III–IV hematologic toxicity. The low physical function of advanced NSCLC patients may be more liable to suffer toxic and side effects after treatment [30]. Meanwhile, the T allele may reduce the function of CDA enzymatic activity, increasing gemcitabine concentration, and other aspects, such as RNA editing or protein modification, etc. [31–33]. That needs to be further confirmed.

Our meaningful short-term efficacy and toxicity results can help in predicting the outcome of gemcitabine, especially in advanced NSCLC patients carrying *CDA 435C/T+T/T* mutant genotypes in the detection of adverse reactions, in order to deal with timely, or guide lower drug dose selection. About long-term efficacy, there is a study in non-Asian patients where *CDA 435C/C* and *C/T* genotype showed a longer OS [10]. In the Chinese population, it still needs to further assess the *CDA 435C>T* polymorphism and overall survival (OS)/long-term toxicity.

Supplementary information is available in the online version of the paper.

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Cytidine deaminase 435C>T polymorphism relates to gemcitabine-platinum efficacy and hematological toxicity in Chinese non-small-cell lung cancer patients

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Supplementary Information

Supplementary Table S1. Hardy-Weinberg genetic balance test of 163 and 100 NSCLC patients.

Genotypes	Actual Frequency	Theoretical Frequency	Actual Frequency	Theoretical Frequency	p-value
	Patients n=163 (n, %)		Patients n=100 (n, %)		
CC	86 (0.53)	89 (0.55)	55 (0.55)	57 (0.57)	p>0.05
CT	69 (0.42)	61 (0.38)	41 (0.41)	37 (0.37)	
TT	8 (0.05)	11 (0.07)	4 (0.04)	6 (0.06)	

Supplementary Table S2. Hematological toxicities criteria according to CTCAE 4.0 version.

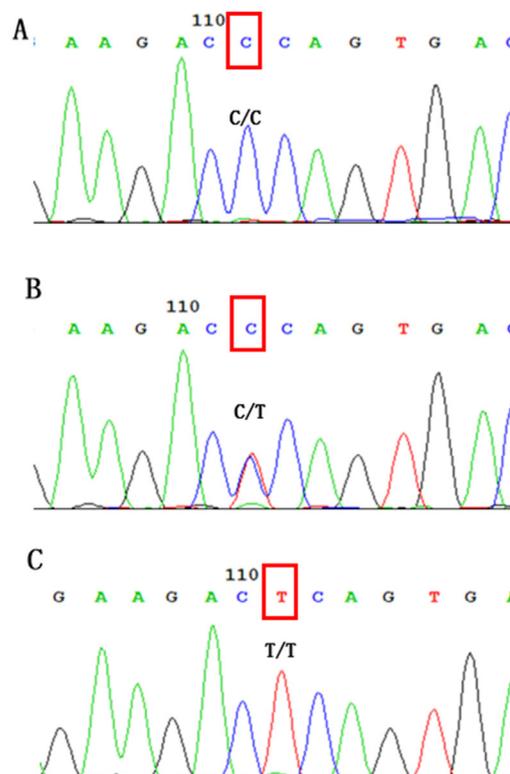
Hematological toxicities	I	II	III	IV	V
Leukopenia	≥3.0	<3.0–2.0	<2.0–1.0	<1.0	–
Thrombopenia	≥75	<75–50	<50–25	<25	–
Aglobulia	≥100	<100–80	<80 need blood transfusion treatment	life-threatening need blood transfusion treatment	death

Supplementary Table S3. CDA 435C>T genotypic and allelic frequencies in 163 NSCLC patients.

Genotype	n (%)	Allelic Frequencies
CDA 435C>T		C 0.74; T 0.26
C/C	86 (52.76)	
C/T	69 (42.33)	
T/T	8 (4.91)	

Supplementary Table S4. Comparison of hematological toxicity between GN and GP regimen of 100 NSCLC patients.

Hematological toxicity	GP (n=30)		GN (n=70)		p-value
	n	%	n	%	
Leukopenia	8	26.67	27	38.57	0.25
Thrombopenia	4	13.33	19	27.14	0.21
Aglobulia	22	73.33	58	82.86	0.27



Supplementary Figure S1. CDA 435C>T sequence determination. A) wild-type genotype CDA 435C/C; B) homozygote mutant genotype CDA 435C/T; C) homozygote mutant genotype CDA 435T/T.