# Multivariate analyses of prognostic factors in acute myeloid leukemia: relevance of cytogenetic abnormalities and CD34 expression<sup>\*</sup>

C. JUNGHANSS<sup>1</sup>, M. WAAK<sup>1</sup>, A. KNOPP<sup>1</sup>, H.D. KLEINE<sup>1</sup>, G. KUNDT<sup>2</sup>, M. LEITHÄUSER<sup>1</sup>, I. HILGENDORF<sup>1</sup>, D. WOLFF<sup>1</sup>, J. CASPER<sup>1</sup>, M. FREUND<sup>1</sup>

<sup>1</sup>Department of Internal Medicine, e-mail: christian.junghanss@med.uni-rostock.de, Division of Hematology and Oncology, University of Rostock, 18057 Rostock, Germany; <sup>2</sup>Department of Medical Informatics and Biometry, University of Rostock, Germany

# Received January 11, 2005

Identification of additional prognostic factors besides karyotype is important for the improvement of the risk adapted treatment strategies in acute myeloid leukemia (AML). The aim of this study was to investigate whether other factors besides karyotype could be used as a prognostic tool in newly diagnosed AML.

Biological and disease related established and potential prognostic factors were retrospectively analysed in 124 consecutive AML patients treated between 1993 and 2002 at the University hospital Rostock (Germany). One hundred patients received a potential curative intensive chemotherapy (81%), of whom 28 received an allogeneic HSCT at some point of their treatment course, 17 patients (14%) received palliative therapies and 7 patients (5%) received supportive care only. In patients that received potential curative therapies LDH  $\geq$ 2000 U/l, WBC >50 GPT/l, CD34 surface expression on the AML blasts, secondary AML, unfavorable karyotype and no allogeneic HSCT at some point of treatment course were associated with unfavorable prognosis. However, in the multivariate risk factor analyses only unfavorable karyotype (p=0.012), CD34 positivity of AML blasts (p=0.046), no allogeneic HSCT (p=0.008) and first diagnosis after 1997 (p=0.025) were independent unfavorable prognostic factors.

In conclusion, karyotype and CD34 expression are independent prognostic markers in newly diagnosed AML. Furthermore, receiving an allogeneic HSCT at some point of the treatment course seems to be of benefit for AML patients.

Key words: leukemia, CD34-antigen, prognosis, cytogenetic abnormalities, hematopoietic stem cell transplantation, AML

Acute myeloid leukemia (AML) is a heterogeneous disease with different morphologies, immunophenotypes and cytogenetic alterations [45]. Although the outcome of AML has improved over the last decades, long term results still remain unsatisfactory. Complete remissions (CR) are achieved with standard intensive chemotherapy in 65–70% of younger AML patients [6, 7, 17]. Unfortunately, even patients that receive a CR have a long term relapse free survival probability of only 31–49% [6, 7, 17]. Older AML patients have an even worse prognosis [25, 30].

Treatment modalities that might improve outcome of AML patients include allogeneic hematopoietic stem cell trans-

plantations (HSCT). Allogeneic HSCT can induce long term survival in refractory and relapsed AML patients [47]. Furthermore, preliminary data suggest that allogeneic HSCT might be beneficial when introduced into the first line treatment of AML patients [9, 14, 41]. However, allogeneic HSCTs are associated with significant treatment related morbidities and mortality (TRM) [9]. So especially patients with a good prognosis following conventional chemotherapy might not benefit from this approach.

Therefore, current algorithms in the treatment of AML include risk stratifications. Based on the observation that AML-morphology is in general of low predicitive value in respect of response and survival, several other prognostic factors have been identified. These can be divided into biological factors and disease specific factors. Age as a biological factor has been established as an independent risk factor

<sup>\*</sup>C.J. received support by the German Research Council (DFG JU 417 2-1), M.F. received support by the German José Carreras Leukemia foundation (DJCLS R00/20).

in a series of studies [30, 48]. However, the karyotype as a disease specific risk factor has been shown to have the major impact on the patients' outcome [10, 16, 17, 22]. Several prognostic risk scoring systems that are mainly based on cytogenetics have been developed [10, 16–18, 48]. Other biological and disease specific risk factors have been postulated [1, 7, 32, 33, 42, 48], but for some such as CD34 expression on the AML cells their prognostic significance remain unclear [31, 38].

Here, we investigated whether established as well as discussed biological and disease specific risk factors had a prognostic significance for patient outcome in our institution.

#### **Patients and methods**

Patients with AML that were treated at the Division of Hematology and Oncology (University of Rostock) between 01.01.1993–01.02.2002 were included in this retrospective study. For all cases a chart review was performed and defined risk factors were documented. In general, all laboratory analyses were performed by laboratories of the Division of Hematology. Only in exceptional cases laboratory results from outside laboratories were accepted. Endpoint of data survey was 01.10.2003.

*Flow cytometry*. Flow cytometry was performed according to the guidelines of the European Group for the Immunological Characterization of Leukemias (EGIL) [2]. CD34 staining was done with anti-CD34 antibody clone 8G12 from Becton Dickinson (Heidelberg, Germany). If more than 10% of the AML-blasts expressed CD34, the AML was grouped as CD34 positive AML.

*Cytogenetics*. Until 1/96 conventional GTG-banding was performed. Thereafter, all karyotyping was done using R-banding [36]. In all cases >15 metaphases were analyzed and classified according to the International System for Human Cytogenetic Nomenclature [27].

Cytogenetic risk groups were defined as done in the Medical Research Council (MRC) AML 10 study [17]: favorable: t(8;21), t(15;17), inv(16); alone or in combination with other cytogenetic changes; intermediate: normale karyotype, +8, +21, +22, del(7q), del(9q), 11q23, all others aberrations; cytogenetic aberrations, that are not classified as favorable or poor; unfavorable: -5, -7, del(5q), abnormalities at 3q, complex karyotype ( $\geq$ 3 aberrations) alone or in combination with intermediate changes or any other changes of the unfavorable risk group.

*AML-definitions*. AML cases were classified based on morphological criteria according to the FAB-classification [3]. Patients with a history of known risk factors for AML development, such as preceding myelodysplastic syndrome (MDS), any other prior hematological disease, prior treatment with known mutagenic substances such as radiotherapy or prior chemotherapy were classified as secondary AML (sAML). All others were defined as de-novo AML.

Treatment. The therapy of the patients was classified as a)

supportive b) palliative and c) curative. Supportive care was defined as best supportive care and no application of any antineoplastic therapy. Palliative therapy was defined as any antineoplastic, cytoreductive therapy with no curative intention and potential. Common palliative therapies included mitoxantron 10 mg/m<sup>2</sup> i.v. d1+2 q 4 weeks or idarubicin 10 mg p.o. and cytarabine 40 mg s.c. d1-5 q 4 weeks. Curative therapy was defined as any therapy with curative intention. This included standard intensive chemotherapy with induction and consolidation chemotherapy usually with a cytarabine and anthracyclin backbone. Most patients (96%) of this group were treated within consecutive protocols of the East Geman study group for Hematology and Oncology (OSHO), i.e. OSHO AML 93, OSHO AML 96, OSHO AML 97, respectively [20, 43], while others were treated with comparable intensive therapies. All FAB M3 AML patients received an ATRA containing treatment. Three patients received autologous HSCT for consolidation. Allogeneic HSCT was classified as curative therapy and was in 27/28 cases (96%) performed after a myeloablative conditioning

Statistics. All statistical analyses were done using the SPSS-software Version 11.01. To compare characteristics of patient subgroups summary statistics including frequency counts and percentages for categorial variables as well as medians and ranges were calculated. Comparisons from 2x2 tables were made using chi-squared tests or Fisher test if applicable. Survival rates were determined according to KAPLAN and MEIER [21]. Survival was defined as time period from first diagnosis until death or last patient contact. For determination of significant differences between patient subgroups log-rank testing was performed [29]. All p-values were two-tailed. Univariate and multivariate Cox regression models were used to analyze the influence of selected variables on the risks of death. Factors with unadjusted odds-ratios that had a p<0.20 were entered into the multivariate analyses. Cox regression analyses were performed for the whole cohort as well as for curative therapy group in order to identify the influence of allogeneic HSCT.

#### Results

regimen.

Between 01.01.1993 and 01.02.2002 124 patients with AML were treated in the Division of Hematology and Oncology (University of Rostock). The median follow up for all patients was 11 months (range 4 days to 129 months) and for patients alive 26 months (range 101 days to 129 months). Patients' characteristics at first diagnosis are displayed in Table 1.

# Influence of biological and disease specific markers on prognosis.

Age. Patients <60 years of age at diagnosis had a better survival compared to patients  $\geq$ 60 years (5 yrs-OS 27% vs 8%, p=0.033, Fig. 1A). Median survival of the patients <60 years



Figure 1. Overall survival of 124 AML patients divided by age (A), type of AML (B), CD34 expression on AML blasts (C), cytogenetic risk group (D) and type of therapy (E) are displayed. Furthermore, OS of the 100 patients that received a potential curative therapy divided by the type of consolidation (F) are presented.

of age was 12 months (range 22 days – 129 months) compared to 9 months (range 4 days – 82 months) in patients  $\geq 60$ years (p=0.032). In the  $\geq 60$  years old group unfavorable cytogenetics were more common compared to younger patients (18/57 (32%) vs. 4/60 (7%), p=0.002). Whereas in all patients <60 years some potential curative therapy was initiated, older patients were more likely to receive therapies that had only palliative (17/57 (28%)) or supportive (7/57 (11%); p<0.001, both) character.

*Type of AML*. Patients with de-novo AML at diagnosis had a better survival compared to patients with sAML (5 yrs-OS 24% vs 5%, p=0.010, Fig. 1B). Median survival of the patients with de-novo AML was 15 months (range 4 days – 129 months) compared to 8 months (range 5 days – 82 months) in patients with sAML (p=0.015).

*Blood counts and LDH at diagnosis*. Laboratory values were available for 119/124 AML patients (96%). Differential counts were available for 117/124 AML patients (94%) from the peripheral blood and 109/124 (88%) from the bone marrow, respectively. Laboratory results are shown in Table 2.

No association between hemoglobin levels or platelet counts at diagnosis and prognosis was found. Median leukocyte count at diagnosis was 8.0 Gpt/l and ranged from 0.5 to 347 Gpt/l (Tab. 2). Patients with WBC >50 Gpt/l had a median survival of 5 months (range 4 days – 37 months) compared to patients with WBC ≤10 Gpt/l (median 15 months (range 5 days - 129 months)) as well as compared to patients with WBC  $10 - \le 50$  Gpt/l (median 10 months (range 24 days - 103 months)) (p=0.072). Five years OS was 6%, 18% and 22%, respectively (>50 Gpt/l vs.  $\leq 10$  Gpt/l: p=0.005; >50 Gpt/l vs. 10 –  $\leq$ 50 Gpt/l: p=0.034). Overall survival analyses showed significant differences between the WBC >50 Gpt/l and the WBC ≤10 Gpt/l patients (p=0.005) as well as the WBC 10-≤50 Gpt/l group (p=0.048). Overall survival analyses showed no significant differences between the WBC  $\leq 10$  Gpt/l patients and the WBC  $\geq 10 - \leq 50$  Gpt/l group.

Neither the percentages of AML blasts in the bone marrow nor the percentages of AML blasts in the peripheral blood at first diagnosis (Tab. 2) were of prognostic value concerning survival (data not shown).

LDH was elevated in 85/117 patients (73%) at diagnosis (Tab. 2). Survival of patients with LDH values <2000 U/l tended to be better compared to patients with LDH values  $\geq$ 2000 U/l (5yrs-OS 20% vs 10%, p=0.150).

*FAB-type.* FAB M2 and M4 were the most common subtypes in our analyses (Tab. 1). Survival analyses of the different groups showed that the 6 patients with AML FAB M3 had a significant better 5yrs-OS (5/6, 83%) compared to all other subtypes (27/118, 15%, p=0.028). This difference remained significant in OS analyses (p=0.028). The median survival time for the FAB-M3 has not been reached (follow up 2–61 months) compared to a median survival of 10 months in all other FAB subgroups (range 4 days – 129 months). Analyses of the other subgroups showed no prognostic influence (data not shown).

Table 1. Clinical characteristics at first diagnosis

Patient characteristics	Total number of patients (n=124)
Age [yrs] (median (range))	61 (17–90)
patients ≥60 yrs [%]	50 (n=62)
patients ≥65 yrs [%]	32 (n=40)
Sex (female) [%]	52 (n=64)
de-novo AML [%]	58 (n=72)
FAB-subtype AML [%]:	
M0	4 (n=5)
M1	10 (n=13)
M2	18 (n=22)
M3	5 (n=6)
M4	27 (n=34)
M5	8 (n=10)
M6	6 (n=7)
M7	1 (n=1)
unclassified	21 (n=26)
Treatment [%]	
supportive therapy	5 (n=7)
palliative therapy	14 (n=17)
curative therapy	81 (n=100)
chemotherapy	58 (n=72)
chemotherapy + allogeneic HSCT	23 (n=28)

Table 2. Laboratory values at the time of first diagnosis

Characteristics	Total number of patients (n=119)
WBC [Gpt/l] (median (range))	8.0 (0.5-347)
pts with ≤10 Gpt/l [%]	52 (n=62)
pts with $\geq 10$ und $\leq 50$ Gpt/l [%]	34 (n=40)
pts with > 50 Gpt/l [%]	14 (n=17)
Hemoglobin [g/dl] (median (range))	8.9 (3.7–16.7)
Plt-count [Gpt/l] (median (range))	50 (2-853)
LDH [U/l] (median (range))*	847 (95–24366)
Blasts in peripheral blood [%] (median (range))	35 (5–97)
Blasts in bone marrow [%] (median (range))	30 (0–99)

\*normal LDH range: <200 U/l

*CD34-surface expression.* Flow cytometry was performed in 109/124 (88%) cases. In most patients (86/109, 79%) the AML blasts expressed CD34 on their surface, in 23/109 patients (21%) the AML blasts were negative for CD34. Patients with CD34 negative AML blasts at diagnosis had a better survival compared to patients with CD34 positive AML blasts (5 yrs-OS 36% vs. 9%, p=0.049, Fig. 1C). Median survival of the patients with CD34 negative AML blasts was 16 months (range 7 days – 129 months) compared to 9 months (range 5 days – 103 months) in patients with CD34 positive AML (p=0.037).

*Cytogenetics*. Cytogenetics were available for 117/124 (94%) patients. Results of the cytogenetic analyses are displayed in Table 3.

Table 3. Characteristics of the cytogenetic findings

Cytogenetic aberration	Number of patients (%)	as single mutation (%)	with >3 aberrations (%)
normal karyotype	47 (40%)		
+8	20 (17%)	9 (45%)	5 (25%)
inv16 or t(16;16)	2 (2%)	1 (50%)	
-7/del(7q)	19 (16%)	6 (32%)	6 (32%)
-7	9 (8%)	2 (22%)	3 (33%)
del(7q)	10 (8%)	4 (40%)	3 (33%)
-5/del(5q)	17 (14%)	1 (6%)	11 (65%)
-5	4 (3%)		2 (50%)
del(5q)	13 (11%)	1 (8%)	9 (69%)
t(8;21)	1 (1%)	1 (100%)	
-Y	2 (2%)	1 (50%)	1 (50%)
balanced abn. (11q23)	5 (4%)	2 (40%)	2 (40%)
t(9;11)	2 (2%)	1 (50%)	
t(11;21)	3 (3%)	1 (33%)	2 (67%)
del(20q)	4 (3%)		3 (75%)
del/inv(9q)	2 (2%)	2 (100%)	
del(11q)	4 (3%)	1 (25%)	3 (75%)
abn(12p)	2 (2%)	1 (50%)	
del(3q)	2 (2%)	1 (50%)	
inv3/t(3;3)	4 (3%)	2 (50%)	
t(15;17)	4 (3%)	2 (50%)	
t(5;17)	2 (2%)		2 (100%)
other structural aberrati	ons 12 (10%)	7 (58%)	
-18	6 (5%)		6 (100%)
+13	3 (3%)		1 (33%)
+21	2 (2%)		
+(21q)	2 (2%)	1 (50%)	
-X	2 (2%)		1 (50%)
+4	2 (2%)	1 (50%)	
other numerical aberrat	ions 11 (9%)	3 (27%)	5 (45%)

Table 4. Characteristics of the cytogenetic risk groups

Risk Group	Number of patients (%)	Median age (range) [years]	de-novo AML (%)	CD34+ AML (%)	Median survival (range) [months]
favorable	8 (7%)	57 (17–74)	100%	100%	Not reached
intermediate	87 (74%)	58 (17-90)	60%	76%	12 (0-106)
unfavorable	22 (19%)	66 (46-80)	32%	86%	5 (0-38)

Risk grouping according to the risk groups defined in the MRC 10 trial was performed (Tab. 4). Most patients (74%) had an intermediate risk group profile. Patients with poor cytogenetics were older and had more often sAML (Tab. 4) (p=0.002, both).

Median survival of patients with favorable cytogenetic risk has not been reached. One patient of this group (1/8, 13%) died within follow up (at 12 months). Median survival of patients with intermediate cytogenetic risk was 12 months (range 5 days – 106 months). Sixty four patients (74%) of this group died within follow up. Median survival of patients with poor cytogenetic risk was 5 months (range 24 days – 38

months). All 22 patients (100%) of this group died within the follow up. Five year survival as well as OS was significantly different between the 3 risk groups (Fig. 1D). Patients with favorable risk had a better OS compared to the intermediate risk group (p=0.010) as well as compared to the unfavourable risk group (p<0.001). Patients with an intermediate risk group (p<0.001). The survival at 5 years tended to be better for the favorable risk group (88%) compared to the intermediate risk group (52%, p=0.107) and was significantly better compared to the unfavorable risk group (18%, p=0.005).

Influence of therapy on prognosis. Most patients (81%) received chemotherapy with a curative intention, 14% received a palliative chemotherapy and 5% supportive care only (Tab. 1). Median survival of patients with any curative therapy was 15 months (range 5 days - 129 months). Sixty nine out of 100 (69%) patients of this group died within follow up. Median survival of patients with palliative chemotherapy was 5 months (range 1 month - 16 months). All 17 patients (100%) of this group died within follow up. Median survival of patients with supportive care was 3 months (range 4 days - 15 months). Six out of 7 patients (86%) of this group died within follow up. Overall survival was significantly different between the 3 therapy groups (Fig. 1E). Patients with potential curative therapies had a better survival compared to the palliative therapy group (p<0.001) as well as compared to the supportive care group (p<0.001). Survival of patients with palliative therapy had a similar survival compared to patients with best supportive care (p=0.700).

Twenty eight out of 100 patients (28%) in whom curative therapies were initiated received an allogeneic HSCT at a median of 6 months following first diagnosis. All other patients received conventional chemotherapy  $\pm$  autologous HSCT. Patients with allogeneic HSCT tended to

have a better survival compared to patients with no allogeneic HSCT (5 yrs-OS 34% vs. 15%, p=0.092, Fig. 1F). Median survival of the patients with allogeneic HSCT was 20 months (range 4–106 months) compared to 13 months (range 5 days – 129 months) in patients without allogeneic HSCT (p=0.053).

Of interest, patients in our cohort that were diagnosed before 1.1.1997 (31/124, 25%) had a 5-year survival of 28% compared to patients diagnosed after 1.1.1997, that had a 5 year survival of 14% (p=0.022). The median survival in those two cohorts was 18 months (range 2–129 months) compared to 9 months (range 4 days – 61 months), respectively

Table 5. Risk factor analyses for the event death

Risk factor	univariate RR (95% CI)	р	multivariate RR (95% CI)	р
Therapy				
palliative vs curative	3.8 (2.2-6.9)	< 0.001	2.2 (1.0-4.7)	0.040
supportive vs curative	4.3 (1.8–10.2)	0.001	2.5 (0.8-7.5)	0.101
Age				
60 yrs vs <60 yrs	1.1 (0.7–1.7)	0.770		
WBC (Gpt/l)				
$>10$ and $\leq 50$ vs $\leq 10$	1.1 (0.6–1.8)	0.806	1.0 (0.5-2.0)	0.910
>50 vs ≤10	1.7 (0.8–3.6)	0.148	0.9 (0.4–2.4)	0.871
LDH (U/l)				
LDH ≥2000 vs <2000	1.5 (0.9–2.6)	0.150	1.5 (0.7–3.1)	0.285
Cytogenetic risk group				
Intermediate vs favorable	7.6 (1.1–55.2)	0.044	6.1 (0.7–51.6)	0.094
Unfavorable vs favorable	17.7 (2.3–137)	0.006	16.8 (1.8–154)	0.012
CD34 positivity of blasts				
CD34 <sup>+</sup> vs CD34 <sup>-</sup>	2.0 (1.0-3.9)	0.047	2.1 (1.0-4.4)	0.046
Type of AML				
sAML vs de-novo AML	1.5 (0.9–2.5)	0.087	0.9 (0.5–1.7)	0.764
FAB-Subtype				
All others vs AML M3	5.7 (0.8-41.2)	0.084	2.7 (0.3-24.9)	0.372
Therapy				
Chemotherapy vs allogeneic HSCT	1.5 (0.9–2.5)	0.156	2.5 (1.3-4.9)	0.008
First diagnosis				
after 97 vs before 97	1.5 (0.9–2.6)	0.129	2.2 (1.1-4.2)	0.025

(p=0.006). Other time intervals did not have a significant influence on prognosis.

Univariate and multivariate analyses. In order to determine independent prognostic factors univariate and multivariate analyses were performed. The prognostic influence of the therapy was calculated based on all patients in the study, whereas all other prognostic factors were calculated for the 100 patients who received any type of curative therapy. Results are displayed in Table 5.

As expected, the type of therapy (palliative as well as supportive vs. curative) had an independent prognostic influence on survival.

Within the curative treatment group favorable cytogenetics and CD34 negativity of AML blasts were significantly associated with a better survival in the univariate analyses. In the multivariate analyses favorable cytogenetics were an independent prognostic factor for better survival compared to intermediate risk cytogenetics (trend, p=0.09) and a significant independent prognostic factor for better survival compared to unfavorable risk cytogenetics (p=0.012). CD 34 positivity of the AML blasts was an independent risk factor for inferior survival (p=0.046). Patients who received no allogeneic HSCT during their treatment had after adjustment for other risk factors an inferior prognosis (p=0.008). The time of first diagnosis, i.e. before 1997 or after, remained a significant risk factor for poor survival (p=0.025) after adjusting for competing risks.

# Discussion

Although significant progress has been made in the treatment of AML over the last decades, the majority of patients still die from complications of disease or therapy. In order to improve these results risk adapted treatment strategies have been developed. These are based on the early identification of patients biological and disease specific risk factors, in order to allow influence of treatment decisions. Whereas some prognostic factors such as karyotype are already included in current risk profiling, the prognostic value of others are still unclear. In the current study several proposed risk factors in a cohort of 124 AML patients were retrospectively analyzed to define their influence on prognosis.

Before interpreting our results it has to be considered that some subgroups were small and not all patients received the same treatment. Instead we included all patients treated and diagnosed during the study period leading to an older cohort compared to most studies but also leading to a less biassed cohort. Nevertheless, some results are intriguing, especially results based on immunophenotyping that are not

always centralized in large multicentre studies and are therefore open for methodical differences.

The karyotype of AML blasts at diagnosis has been identified as the most important independent determinant of outcome for patients with AML in recent years [7, 10, 16 17, 22, 35, 37]. In our study 60% of the patients had aberrant karyotypes. This is in line with published data that describe cytogenetic alterations in 52-86% of AML and t-AML patients [7, 10, 17, 26 35, 37]. Furthermore, we found in accordance with these studies more unfavorable cytogenetic alterations in the older patients. Based on data from the MRC 10 trial in which 3 different prognostic groups were defined we divided our patients accordingly [17]. The discrimination concerning prognosis was good and the cytogenetic risk group was after adjusting for competing risks the most important prognostic factor. Median survival of our three subgroups confirms data from other studies that defined similar or nearly similar cytogenetic risk groups [10, 17, 354, 37]. In the large British AML MRC 10 trial 5-year overall survival rates for cytogenetic favourable risk patients were in 71%, for intermediate risk patients in 41% and for poor risk patients in 17% range, respectively [17, 48]. While the cytogenetic analysis provides the framework that can distinguish groups of different prognosis, it lacks the ability to distinguish cohorts of patients with differing prognoses within

cytogenetic risk groups [34]. In the future this may be enhanced with discovery of novel rearrangements associated with AML, such as *Flt3* or *CEBPA* mutations [15, 32, 40], or gene expression profiling of AML cells [8, 44].

Easy obtainable prognostic factors such as age, WBC, LDH, and type of AML (secondary vs. de-novo) were able to identify patients with inferior prognosis. However, when adjusted for competing risks their prognostic relevance was substantially reduced. Similar effects were seen for these variables in multivariate analyses of several AML studies [16, 19, 22, 30, 48]. In accordance with published data FAB morphology groups except for AML FAB M3 were of no benefit to determine prognosis [39].

The prognostic value of CD34 expression of AML cells is still unclear. In our study expression of CD34 on the surface of the AML occurred slightly more frequently as described in other studies that describe CD34+AML blasts in 42-77% of all cases [4, 13, 24, 38]. In our study CD34 positivity of AML cells was associated with an inferior survival and was an independent prognostic factor (Fig. 1C). Several studies have investigated the prognostic value of CD34 expression on AML-blasts. Whereas some studies are consistent with our results and found a worse survival of patients with CD34+ blasts [11, 13, 23], other studies could not confirm these data [4, 12, 24, 31]. Instead, LEGRAND et al found that not a single antigen expression on AML cells can be applied for risk stratification in AML patients at diagnosis but expression of two or more of the panmyeloid markers myeloperoxidase, CD13, CD33, CDw65 and CD117 [24]. In fact, this group found CD34 positivity in both, patients with good and poor prognosis. Similarly, the French Groupe d'Etude Immunologique des Leucémies (GEIL) has performed a large multicenter immunophenotyping study and has recently proposed a immunological classification of AML cells using a 7 antigen immunephenotyping approach that could discriminate five AML subsets with different prognosis [11]. In this study CD34 positivity by itself had prognostic significance in some but not all subsets. Therefore, it seems today that the CD34 positive AML patients comprise a heterogenous group with good and poor risk factors and the prognostic significance of CD34 expression remains unclear.

Patients that have received an allogeneic HSCT at some point of their treatment course had a better prognosis compared to patients who received a potentially curative therapy, but no allogeneic HSCT (Fig. 1F). The value of allogeneic HSCT in relapsed AML has been clearly established and preliminary data indicate that allogeneic HSCT as consolidation might be of value in first line treatment depending on karyotype and if appropriate donors are available [9, 14, 37, 41]. Our data support those findings.

Surprisingly, in our analyses patients that were diagnosed before 1997 had a better survival compared to patients that were diagnosed after 1997. An explanation for this finding is lacking. However, one possible reason for this independent prognostic significance might be the incidence of infection related mortality in our patient population. Several reports have described increases of fungal infections after or during reconstruction as has been performed in our wards especially in long term neutropenic patients such as AML [5, 28, 46]. Final analyses of infection rates in our cohort are currently performed.

In conclusion, our study confirms karyotype as an independent prognostic factor for overall survival in patients with newly diagnosed AML. Future studies will likely further modify prognostic categorization of AML patients by subdividing cytogenetic risk groups according to the results of new molecular marker studies and maybe other factors. As shown in this analyses CD34 expression on the surface alone or in combination with other antigens might be one of those and might therefore be considered for further risk stratification models. In addition, this study supports the findings that allogeneic HSCT is beneficial in AML, since receiving an allogeneic HSCT was an independent good prognostic factor.

### References

- BAER MR, STEWART CC, LAWRENCE D, ARTHUR DC, BYRD JC et al. Expression of the neural cell adhesion molecule CD56 is associated with short remission duration and survival in acute myeloid leukemia with T(8;21)(Q22;Q22). Blood 1997; 90(4): 1643–1648.
- [2] BENE MC, CASTOLDI G, KNAPP W, LUDWIG WD, MATUTES E et al. Proposals for the immunological classification of acute leukemias. European Group for the Immunological Characterization of Leukemias (EGIL). Leukemia 1995; 9(10): 1783–1786.
- [3] BENNETT JM, CATOVSKY D, DANIEL MT, FLANDRIN G, GALTON DA et al. Proposals for the classification of the acute leukaemias. French-American-British (FAB) Co-Operative Group. Br J Haematol 1976; 33(4): 451–458.
- [4] BRADSTOCK K, MATTHEWS J, BENSON E, PAGE F, BISHOP J. Prognostic value of immunophenotyping in acute myeloid leukemia. Australian Leukaemia Study Group. Blood 1994; 84(4): 1220–1225.
- [5] BRINCKER H, CHRISTENSEN BE, SCHMIDT KG, HORNSTRUP MK. Itraconazole treatment of pulmonary aspergillosis in leukaemia patients during a nosocomial epidemic associated with indoor building renovation. Mycoses 1991; 34(9-10): 395–400.
- [6] BÜCHNER T, HIDDEMANN W, BERDEL WE, WÖRMANN, B, SCHOCH C et al. 6-Thioguanine, Cytarabine, and Daunorubicin (TAD) and high-dose Cytarabine and Mitoxantrone (HAM) for induction, TAD for consolidation, and either prolonged maintenance by reduced monthly TAD or TAD-HAM-TAD and one course of intensive consolidation by Sequential HAM in adult patients at all ages with de novo acute myeloid leukemia (AML): a Randomized Trial of the German AML Cooperative Group. J Clin Oncol 2003; 21(24): 4496–4504.
- [7] BÜCHNER T, HIDDEMANN W, WÖRMANN B, LÖFFLER H, GASSMANN W et al. Double induction strategy for acute myeloid leukemia: the effect of high-dose Cytarabine with

Mitoxantrone instead of standard-dose Cytarabine with Daunorubicin and 6-Thioguanine: a Randomized Trial by the German AML Cooperative Group 1999; 93(12): 4116–4124.

- [8] BULLINGER L, DÖHNER K, BAIR E, FRÖHLING S, SCHLENK RF et al. Use of gene-expression profiling to identify prognostic subclasses in adult acute myeloid leukemia. N Engl J Med 2004; 350(16): 1605–1616.
- [9] BURNETT AK, WHEATLEY K, GOLDSTONE AH, STEVENS RF, HANN IM et al. The value of allogeneic bone marrow transplant in patients with acute myeloid leukaemia at differing risk of relapse: Results of the UK MRC AML 10 Trial. Br J Haematol 2002; 118(2): 385–400.
- [10] BYRD JC, MROZEK K, DODGE RK, CARROLL AJ, EDWARDS CG et al. Pretreatment Cytogenetic abnormalities are predictive of induction success, cumulative incidence of relapse, and overall survival in adult patients with de novo acute myeloid leukemia: Results From Cancer and Leukemia Group B (CALGB 8461). Blood 2002; 100(13): 4325–4336.
- [11] CASASNOVAS RO, SLIMANE FK, GARAND R, FAURE GC, CAMPOS L et al. Immunological classification of acute myeloblastic leukemias: relevance to patient outcome. Leukemia 2003; 17(3): 515–527.
- [12] CREUTZIG U, HARBOTT J, SPERLING C, RITTER J, ZIMMER-MANN M et al. Clinical significance of surface antigen expression in children with acute myeloid leukemia: results of study AML-BFM-87. Blood 1995; 86(8): 3097–3108.
- [13] DALAL BI, WU V, BARNETT MJ, HORSMAN DE, SPINELLI JJ et al. Induction failure in de novo acute myelogenous leukemia is associated with expression of high levels of CD34 antigen by the leukemic blasts. Leuk Lymphoma 1997; 26(3-4): 299–306.
- [14] FERRANT A, LABOPIN M, FRASSONI F, PRENTICE HG, CAHN JY et al. Karyotype in acute myeloblastic leukemia: prognostic significance for bone marrow transplantation in first remission: a European Group for Blood and Marrow Transplantation Study. Acute Leukemia Working Party of the European Group for Blood and Marrow Transplantation (EBMT). Blood 1997; 90(8): 2931–2938.
- [15] FRÖHLING S, SCHLENK RF, STOLZE I, BIHLMAYR J, BENNER A et al. CEBPA mutations in younger adults with acute myeloid leukemia and normal cytogenetics: prognostic relevance and analysis of cooperating mutations. J Clin Oncol 2004; 22(4): 624–633.
- [16] GRIMWADE D, WALKER H, HARRISON G, OLIVER F, CHATTERS S et al. The predictive value of hierarchical cytogenetic classification in older adults with acute myeloid leukemia (aml): analysis of 1065 patients entered into the United Kingdom Medical Research Council AML11 Trial. Blood 2001; 98(5): 1312–1320.
- [17] GRIMWADE D, WALKER H, OLIVER F, WHEATLEY K, HARRISON C et al. The importance of diagnostic cytogenetics on outcome in AML: Analysis of 1,612 patients entered into the MRC AML 10 Trial. The Medical Research Council Adult and Children's Leukaemia Working Parties. Blood 1998; 92(7): 2322–2333.
- [18] HAFERLACH T, KERN W, SCHOCH C, SCHNITTGER S, SAUER-LAND MC et al. A new prognostic score for patients with

acute myeloid leukemia based on cytogenetics and early blast clearance in Trials of the German AML Cooperative Group. Haematologica 2004; 89(4): 408–418.

- [19] HAFERLACH T, SCHOCH C, LÖFFLER H, GASSMANN W, KERN W et al. Morphologic dysplasia in de novo acute myeloid leukemia (AML) is related to unfavorable cytogenetics but has no independent prognostic relevance under the conditions of intensive induction therapy: results of a multiparameter analysis from the German AML Cooperative Group Studies. J Clin Oncol 2003; 21(2): 256–265.
- [20] HELBIG W, KRAHL R, KUBEL M, SCHWENKE H, HEROLD M et al. East-German Study Group: Long-term results in adult AML: comparison of postremission chemotherapy (CT) vs. autologous BMT vs. allogeneic B MT. In: Hiddemann W et al. Acute Leukemia V. Berlin Heidelberg New York: Springer-Verlag 1996: 373–379.
- [21] KAPLAN EL, MEIER P. Nonparametric-estimation from incomplete observations. J Am Statistic Assoc 1958; 53(282): 457–481.
- [22] KERN W, SCHOCH C, HAFERLACH T, BRAESS J, UNTERHALT M et al. Multivariate analysis of prognostic factors in patients with refractory and relapsed acute myeloid leukemia undergoing sequential high-dose Cytosine Arabinoside and Mitoxantrone (S-HAM) salvage therapy: relevance of cytogenetic abnormalities. Leukemia 2000; 14(2): 226–231.
- [23] LANZA F, RIGOLIN GM, MORETTI S, LATORRACA A, CASTOLDI G. Prognostic value of immunophenotypic characteristics of blast cells in acute myeloid leukemia. Leuk Lymphoma 1994; 13 Suppl 1: 81–85.
- [24] LEGRAND O, PERROT JY, BAUDARD M, CORDIER A, LAUTIER R et al. The immunophenotype of 177 adults with acute myeloid leukemia: proposal of a prognostic score. Blood 2000; 96(3): 870–877.
- [25] LÖWENBERG B, SUCIU S, ARCHIMBAUD E, HAAK H, STRYCK-MANS P et al. Mitoxantrone versus daunorubicin in induction-consolidation chemotherapy – the value of low-dose cytarabine for maintenance of remission, and an assessment of prognostic factors in acute myeloid leukemia in the elderly: final report. European Organization for the Research and Treatment of Cancer and the Dutch-Belgian Hemato-Oncology Cooperative Hovon Group. J Clin Oncol 1998; 16(3): 872–881.
- [26] MAURITZSON N, ALBIN M, RYLANDER L, BILLSTROM R, AHLGREN T et al. Pooled analysis of clinical and cytogenetic features in treatment-related and de novo adult acute myeloid leukemia and myelodysplastic syndromes based on a consecutive series of 761 patients analyzed 1976–1993 and on 5098 unselected cases reported in the literature 1974–2001. Leukemia 2002; 16(12): 2366–2378.
- [27] MITELMAN F. ISCN 1995, Guidelines for Cancer Cytogenetics, Supplement to: An International System for Human Cytogenetic Nomenclature. Basel, Karger 1995.
- [28] OREN I, HADDAD N, FINKELSTEIN R, ROWE JM. Invasive pulmonary aspergillosis in neutropenic patients during hospital construction: before and after chemoprophylaxis and institution of HEPA filters. Am J Hematol 2001; 66(4): 257–262.
- [29] PETO R, PIKE MC, ARMITAGE P, BRESLOW NE, COX DR et al. Design and analysis of randomized clinical-trials requiring

prolonged observation of each patient. 2. Analysis and examples. Brit J Cancer 1997; 35(1): 1–39.

- [30] PULSONI A, PAGANO L, LATAGLIATA R, CASINI M, CERRI R et al. Survival of elderly patients with acute myeloid leukemia. Haematologica 2004; 89(3): 296–302.
- [31] REPP R, SCHAEKEL U, HELM G, THIEDE C, SOUCEK S et al. Immunophenotyping is an independent factor for risk stratification in AML. Cytometry 2003; 53B(1): 11–19.
- [32] ROMBOUTS WJ, BLOKLAND I, LÖWENBERG B, PLOEMACHER RE. Biological characteristics and prognosis of adult acute myeloid leukemia with internal tandem duplications in the Flt3 gene. Leukemia 2000; 14(4): 675–683.
- [33] SCHNITTGER S, SCHOCH C, DUGAS M, KERN W, STAIB P et al. Analysis of FLT3 length mutations in 1003 patients with acute myeloid leukemia: correlation to cytogenetics, FAB subtype, and prognosis in the AMLCG study and usefulness as a marker for the detection of minimal residual disease. Blood 2002; 100(1): 59–66.
- [34] SCHOCH C, HAFERLACH T, HAASE D, FONATSCH C, LÖFFLER H et al. Patients with de novo acute myeloid leukaemia and complex karyotype aberrations show a poor prognosis despite intensive treatment: a study of 90 patients. Br J Haematol 2001; 112(1): 118–126.
- [35] SCHOCH C, KERN W, SCHNITTGER S, HIDDEMANN W, HAFER-LACH T. Karyotype is an independent prognostic parameter in therapy-related acute myeloid leukemia (t-AML): an analysis of 93 patients with T-AML in comparison to 1091 patients with de novo AML. Leukemia 2004; 18(1): 120–125.
- [36] SCHOCH C, SCHNITTGER S, BURSCH S, GERSTNER D, HOCH-HAUS A et al. Comparison of chromosome banding analysis, interphase- and hypermetaphase-FISH, qualitative and quantitative PCR for diagnosis and for follow-up in chronic myeloid leukemia: a study on 350 cases. Leukemia 2002; 16(1): 53–59.
- [37] SLOVAK ML, KOPECKY KJ, CASSILETH PA, HARRINGTON DH, THEIL KS et al. Karyotypic analysis predicts outcome of preremission and postremission therapy in adult acute myeloid leukemia: a Southwest Oncology Group/Eastern Cooperative Oncology Group Study. Blood 2000; 96(13): 4075–4083.
- [38] SPERLING C, BÜCHNER T, CREUTZIG U, RITTER J, HARBOTT J et al. Clinical, morphologic, cytogenetic and prognostic implications of Cd34 expression in childhood and adult de-novo AML. Leukemia & Lymphoma 1995; 17(5-6): 417–426.
- [39] STEIN AS, O'DONNELL MR, SLOVAK ML, NADEMANEE A, DAGIS A et al. High-dose cytosine arabinoside and dauno-

rubicin induction therapy for adult patients with de novo non M3 acute myelogenous leukemia: impact of cytogenetics on achieving a complete remission. Leukemia 2000; 14(7): 1191–1196.

- [40] STIREWALT DL, KOPECKY KJ, MESHINCHI S, APPELBAUM FR, SLOVAK ML et al. FLT3, RAS, and TP53 mutations in elderly patients with acute myeloid leukemia. Blood 2001; 97(11): 3589–3595.
- [41] SUCIU S, MANDELLI F, DE WITTE T, ZITTOUN R, GALLO E et al. Allogeneic compared with autologous stem cell transplantation in the treatment of patients younger than 46 years with acute myeloid leukemia (AML) in first complete remission (CR1): an intention-to-treat analysis of the EO RTC/GIME-MAAML-10 Trial. Blood 2003; 102(4): 1232–1240.
- [42] THIEDE C, STEUDEL C, MOHR B, SCHAICH M, SCHAKEL U, PLATZBECKER U et al. Analysis of FLT3-activating mutations in 979 patients with acute myelogenous leukemia: association with FAB subtypes and identification of subgroups with poor prognosis. Blood 2002; 99(12): 4326–4335.
- [43] UHAREK L, KRAHL R, POENISCH W, BECKER C, MANTOVANI L et al. Treatment of acute myeloid leukemia (AML) in patients under and over the age of 60: report of the AML 96-#033 and AML 97-#038 study of the East German Hematology and Oncology Study Group (OSHO). Onkologie 2001; 24 Suppl. 6: 40. (Abstract)
- [44] VALK PJ, VERHAAK RG, BEIJEN MA, ERPELINCK CA et al. Prognostically useful gene-expression profiles in acute myeloid leukemia. N Engl J Med 2004; 350(16): 1617–1628.
- [45] VARDIMAN JW, HARRIS NL, BRUNNING RD. The World Health Organization (WHO) Classification of the Myeloid Neoplasms. Blood 2002; 100(7): 2292–2302.
- [46] WEEMS JJ JR, DAVIS BJ, TABLAN OC, KAUFMAN L, MARTONE WJ. Construction activity: an independent risk factor for invasive aspergillosis and zygomycosis in patients with hematologic malignancy. Infect Control 1987; 8(2): 71–75.
- [47] WEIDEN PL, FLOURNOY N, THOMAS ED, PRENTICE R, FEFER A et al. Antileukemic effect of graft-versus-host disease in human recipients of allogeneic-marrow grafts. N Engl J Med 1979; 300(19): 1068–1073.
- [48] WHEATLEY K, BURNETT AK, GOLDSTONE AH, GRAY RG, HANN IM et al. A simple, robust, validated and highly predictive index for the determination of risk-directed therapy in acute myeloid leukaemia derived from the MRC AML 10 Trial. United Kingdom Medical Research Council's Adult and Childhood Leukaemia Working Parties. Br J Haematol 1999; 107(1): 69–79.